

# Coulomb blockade and anti-blockade of non-local electron transport in metallic conductors

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INSTITUTE OF NANOTECHNOLOGY



# Collaboration:

## Dima Golubev

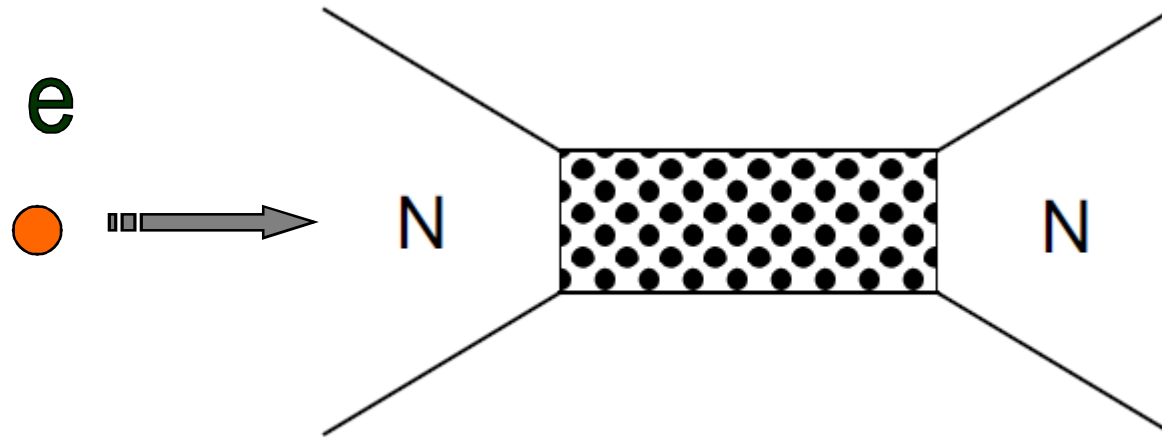


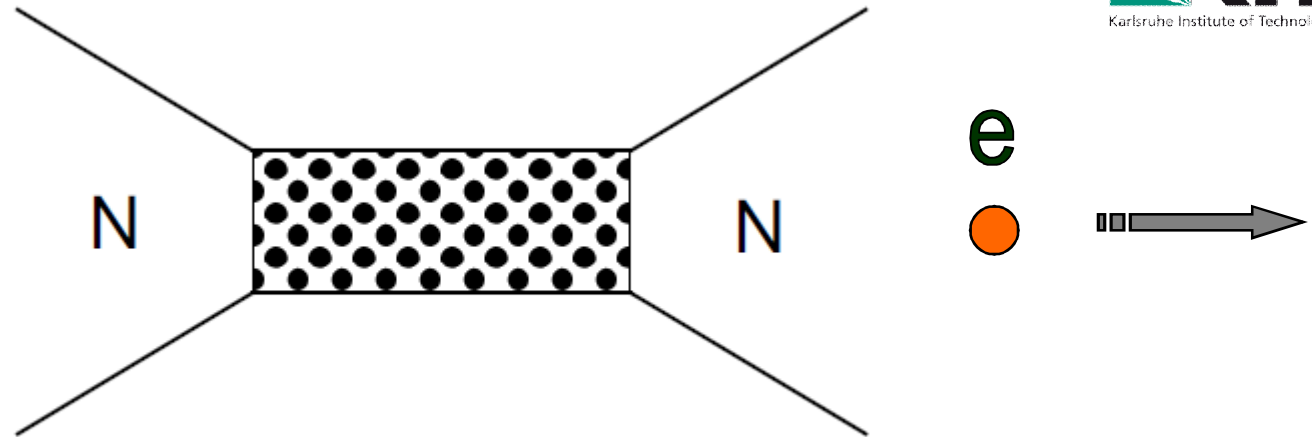
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# Outline

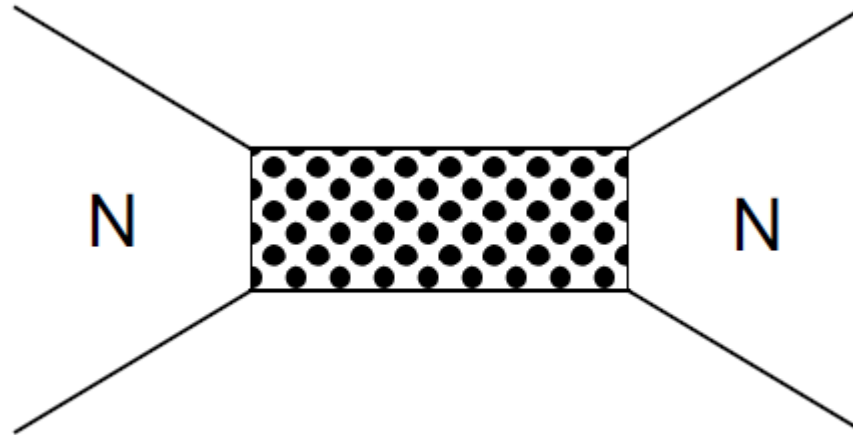
- Introduction: shot noise and e-e interactions in local transport
- Non-local effects in normal conductors
- NSN systems: non-local shot noise
- NSN systems: non-local transport with e-e interactions
- Summary





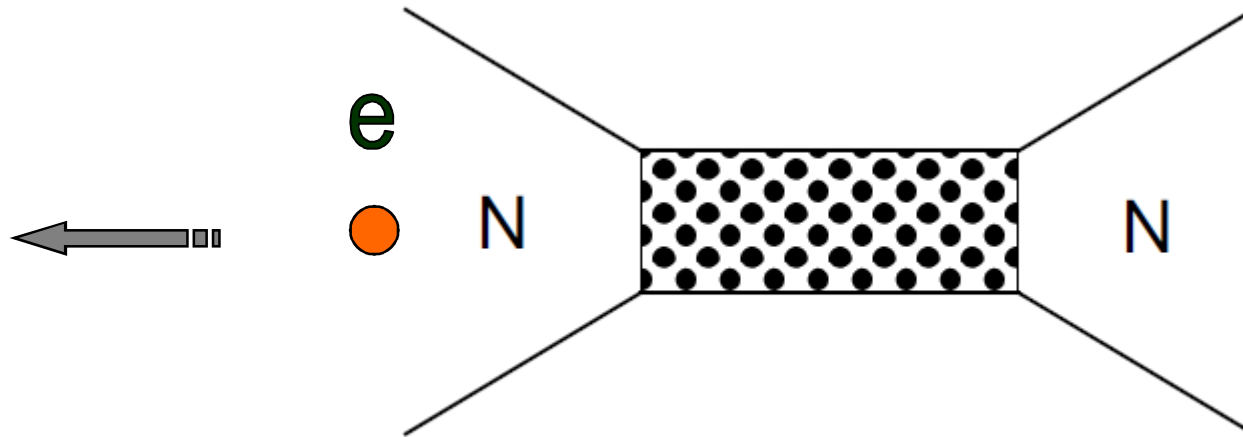
Transmission probability  
in the  $n$ -th channel:

$$T_n$$



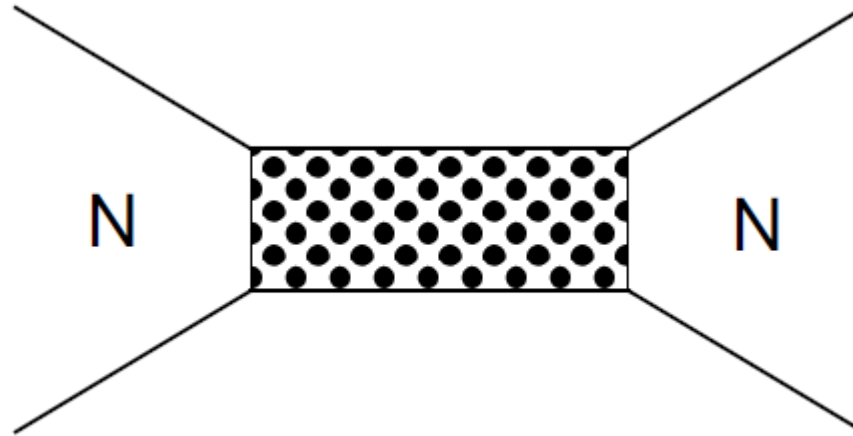
## Conductance: Landauer formula

$$G_N = \frac{e^2}{h} 2 \sum_n T_n,$$



Reflection probability  
in the  $n$ -th channel:

$$1 - T_n$$

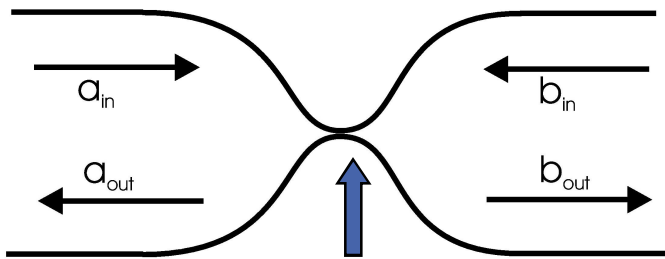


**Shot noise: Khlus et al. formula:**

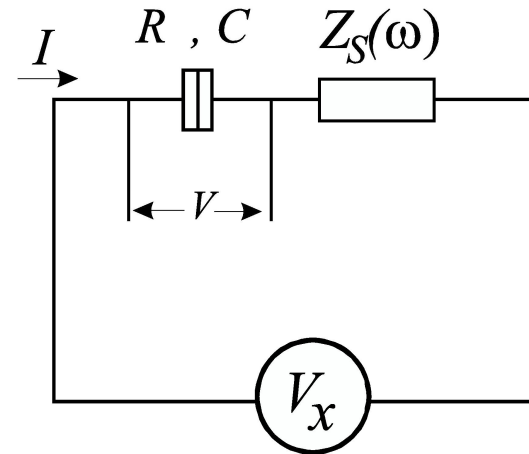
$$\langle |\delta I|^2 \rangle = e|V|G_N\beta_N, \quad \beta_N = \frac{\sum_n T_n(1 - T_n)}{\sum_n T_n}$$



# Including electron-electron interactions in normal structures...



Coherent scatterer



**Golubev,  
A.D.Z.  
PRL'01:**

$$R \frac{dI}{dV} = 1 - \beta f(V, T)$$



Universal  
function

**also:  
Levy Yeati et al.  
PRL'01**

$$\beta = \frac{\sum_n T_n (1 - T_n)}{\sum_n T_n}$$



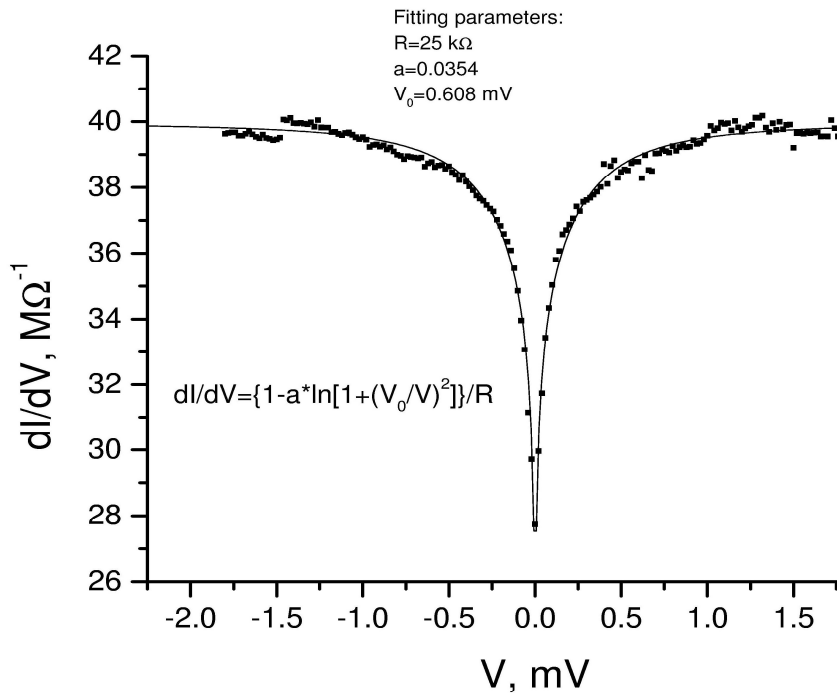
Shot  
noise

# Interaction correction

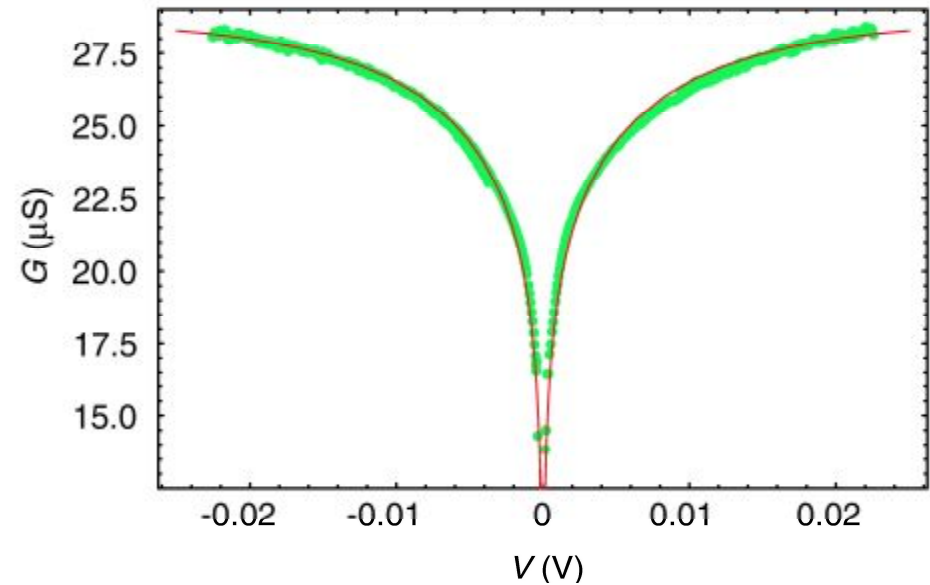


# Universal function

## Granular metals (Krupenin et al., APL'02)



## Carbon nanotubes (Paalanen et al., PRB'04)

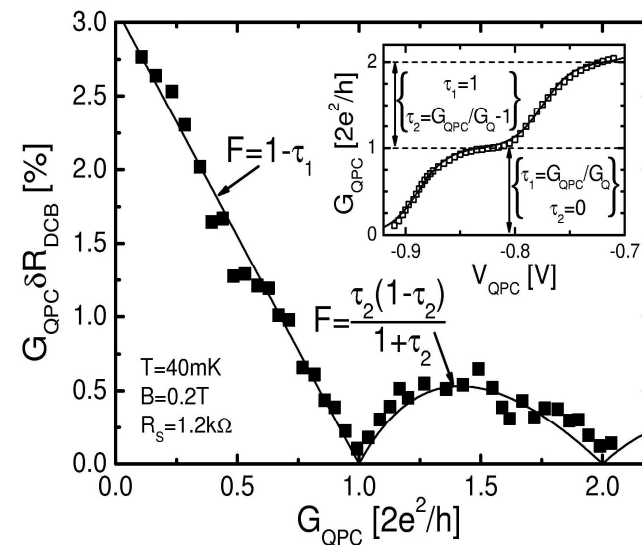
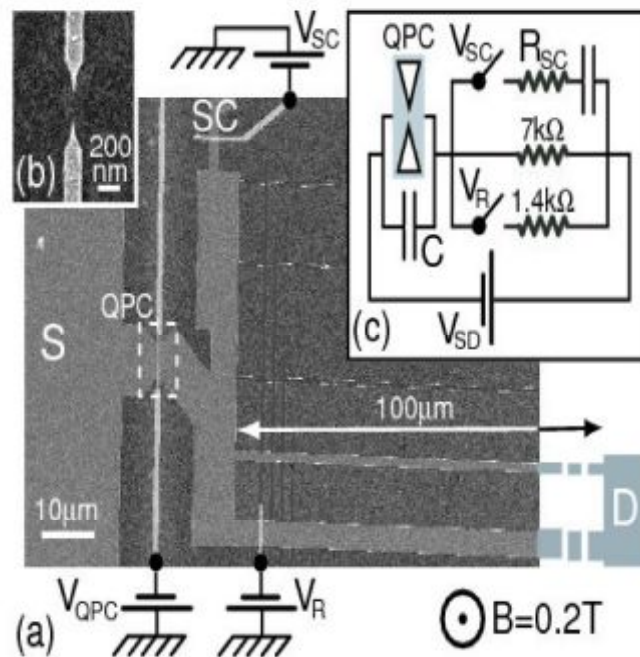


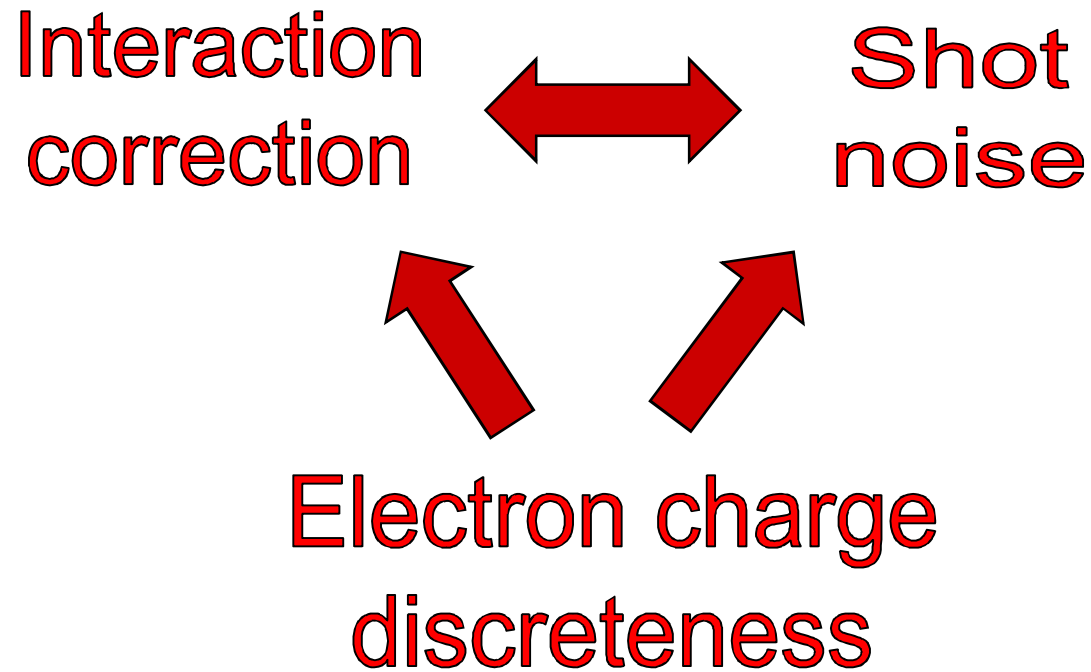
# Interaction correction



# Shot noise (Fano factor)

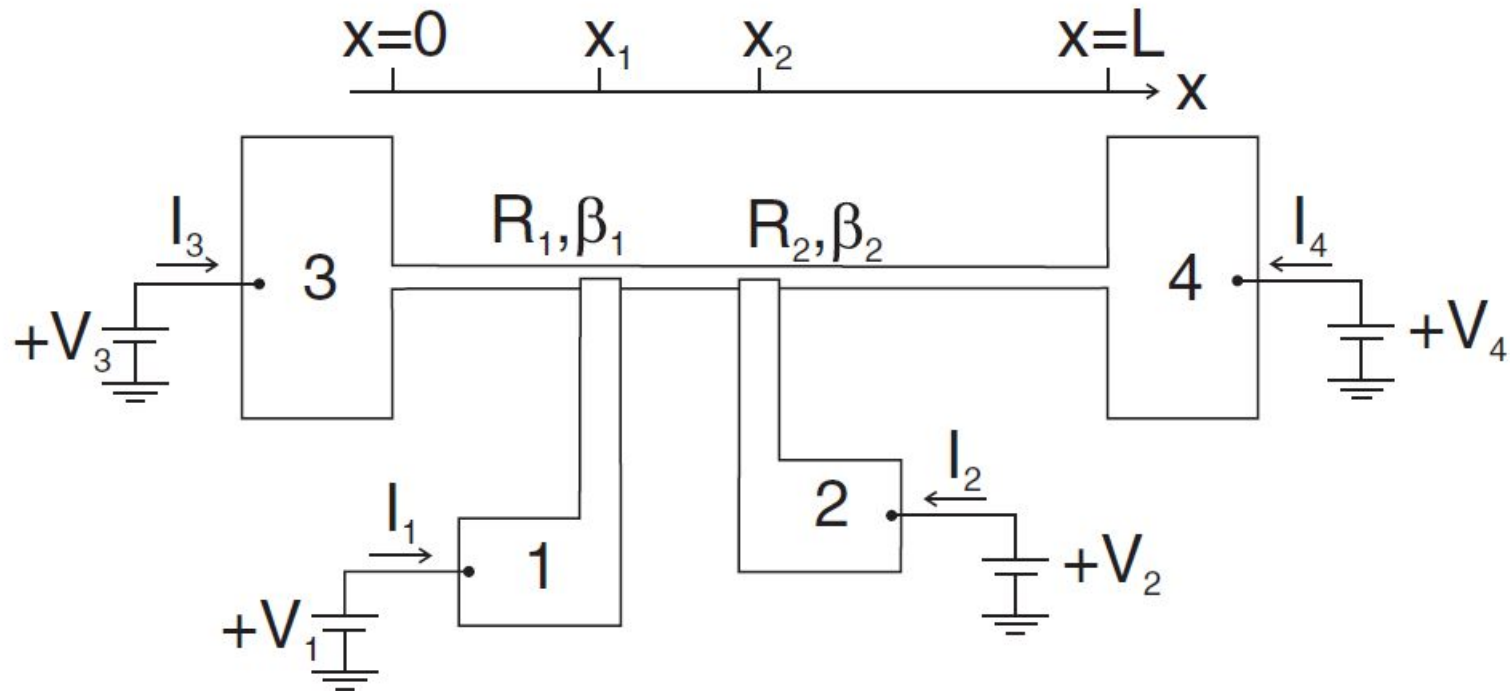
## Experiments on break junctions: Altimiras et al. PRL'07

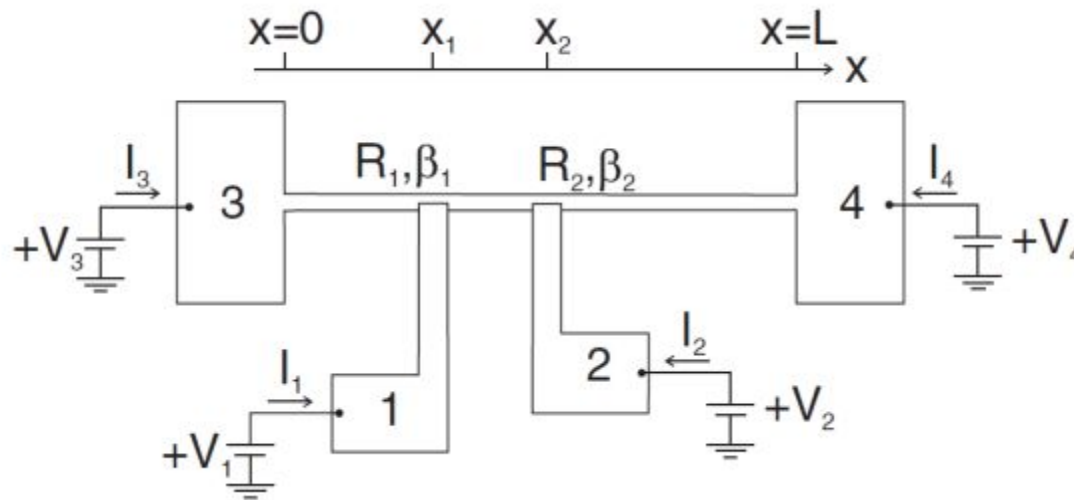




# Non-local effects in normal structures

- Golubev, A.D.Z., PRB 2012

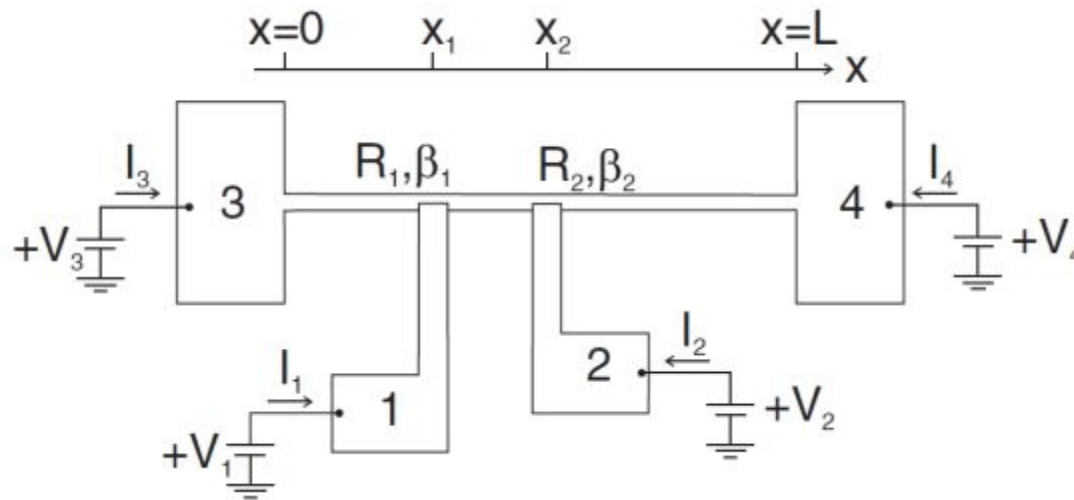




$$w_j = \left(1 - \frac{\bar{r}_j}{R_j}\right) V_j,$$

$$\tau_{\text{in}} = D/L_{\text{in}}^2$$

$$\begin{aligned} \frac{\partial f}{\partial t} - D \nabla_x^2 f = & - \frac{f - f_F[E - eV(t, \mathbf{x})]}{\tau_{\text{in}}} \\ & - \frac{f - f_F(E - ew_1)}{2e^2 v_0 R_1} \delta(\mathbf{x} - \mathbf{x}_1) \\ & - \frac{f - f_F(E - ew_2)}{2e^2 v_0 R_2} \delta(\mathbf{x} - \mathbf{x}_2) \\ & + \frac{\eta_1(t, E) \delta(\mathbf{x} - \mathbf{x}_1) + \eta_2(t, E) \delta(\mathbf{x} - \mathbf{x}_2)}{2e v_0} \end{aligned}$$

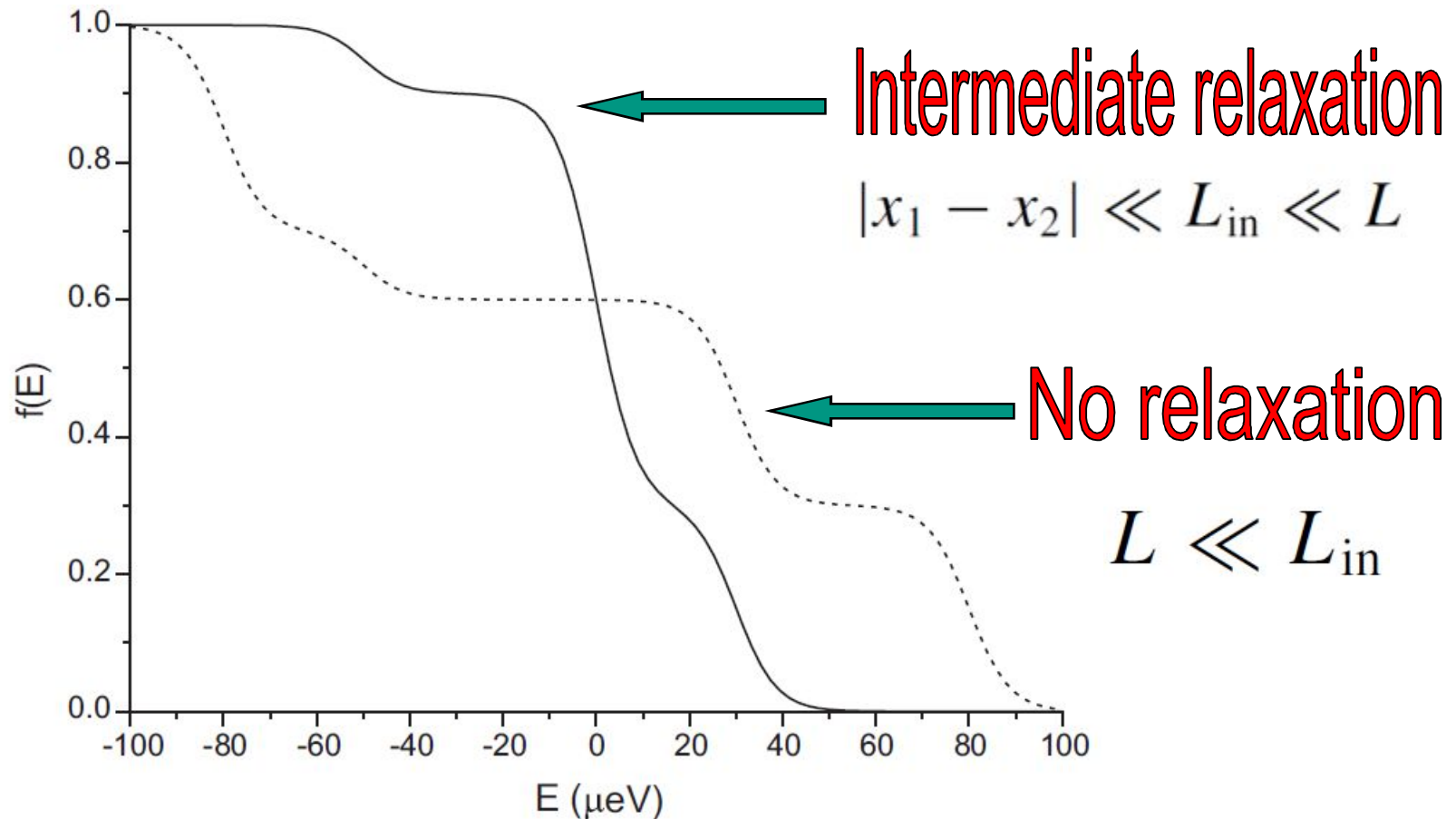


$$w_j = \left(1 - \frac{\bar{r}_j}{R_j}\right) V_j,$$

$$\tau_{\text{in}} = D/L_{\text{in}}^2$$

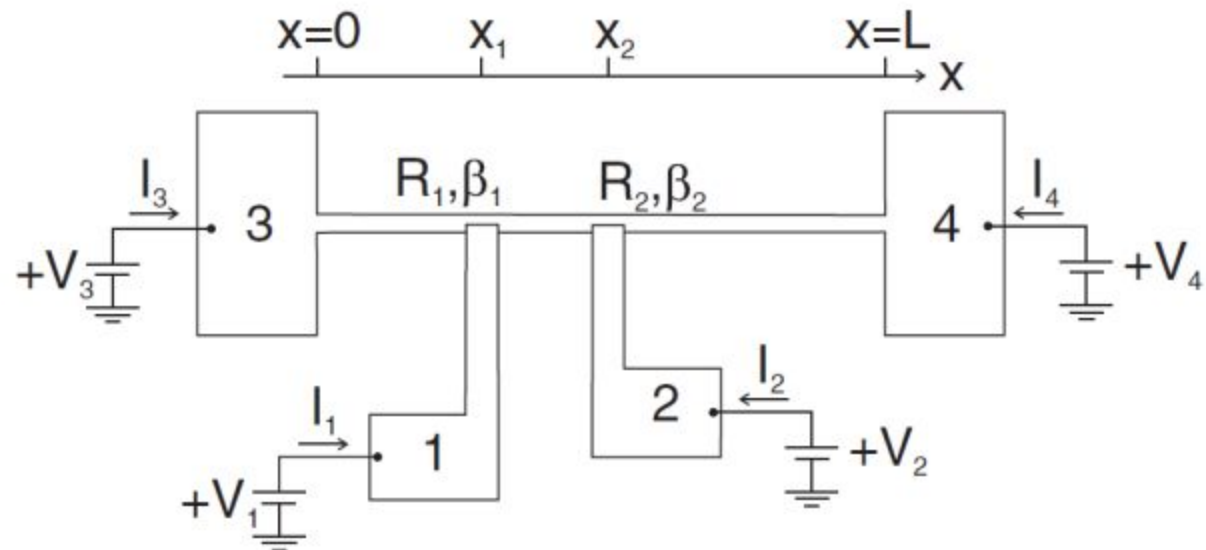
$$\begin{aligned} & \langle \eta_i(t_1, E_1) \eta_j(t_2, E_2) \rangle \\ &= \frac{1}{R_j} \delta_{ij} \delta(t_1 - t_2) \delta(E_1 - E_2) \\ & \quad \times \{ \beta_j f(t_1, E_1, \mathbf{x}_j) [1 - f_F(E_1 - ew_j)] \\ & \quad + \beta_j [1 - f(t_1, E_1, \mathbf{x}_j)] f_F(E_1 - ew_j) \\ & \quad + (1 - \beta_j) f(t_1, E_1, \mathbf{x}_j) [1 - f(t_1, E_1, \mathbf{x}_j)] \\ & \quad + (1 - \beta_j) f_F(E_1 - ew_j) [1 - f_F(E_1 - ew_j)] \} \end{aligned}$$

# Distribution function at the first junction





# Non-local shot noise

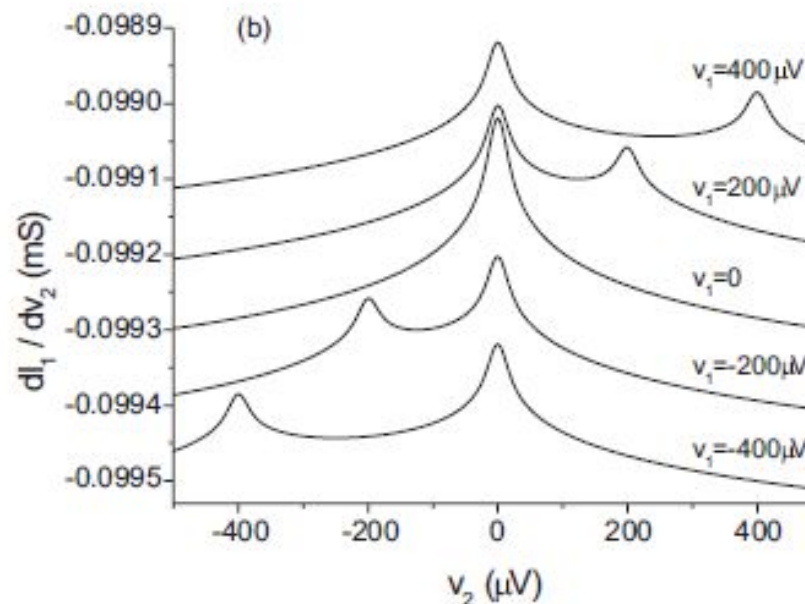
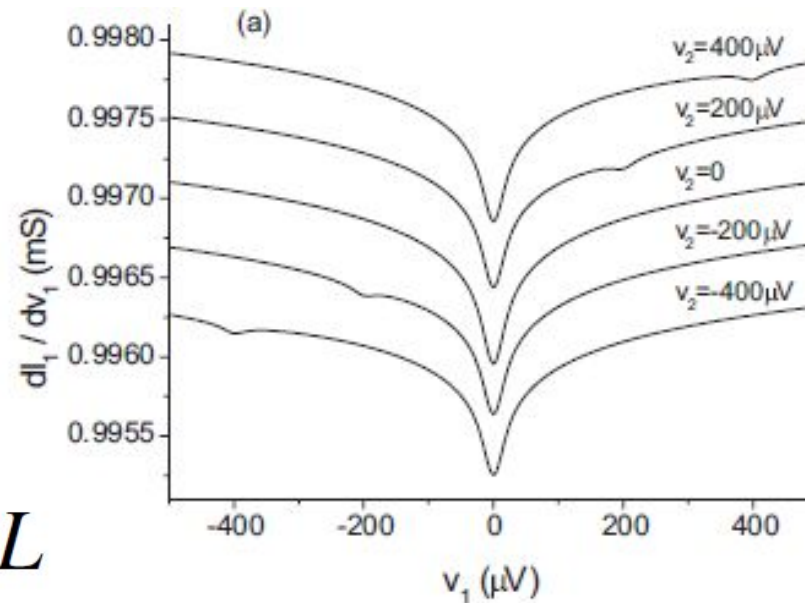


$$S_{ij} = \int dt \langle \delta I_i(t) \delta I_j(0) \rangle$$

**Cross-correlations:  
ALWAYS negative  
for normal conductors**

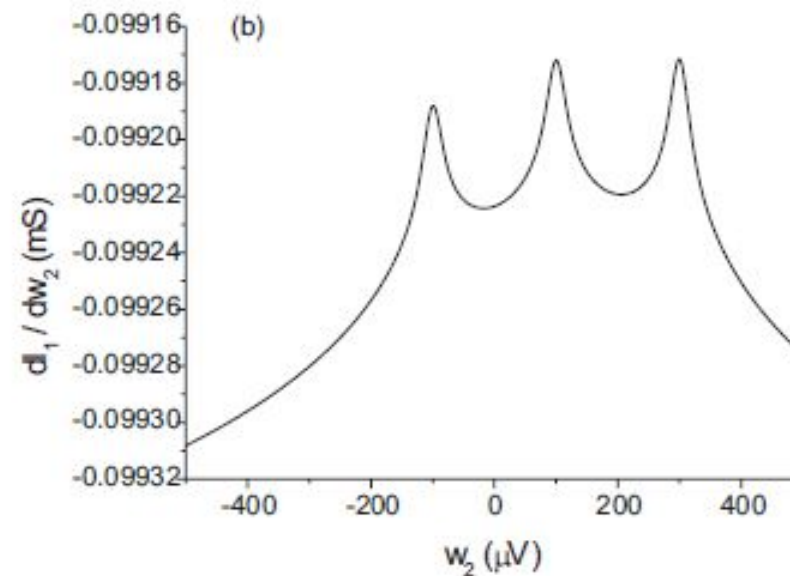
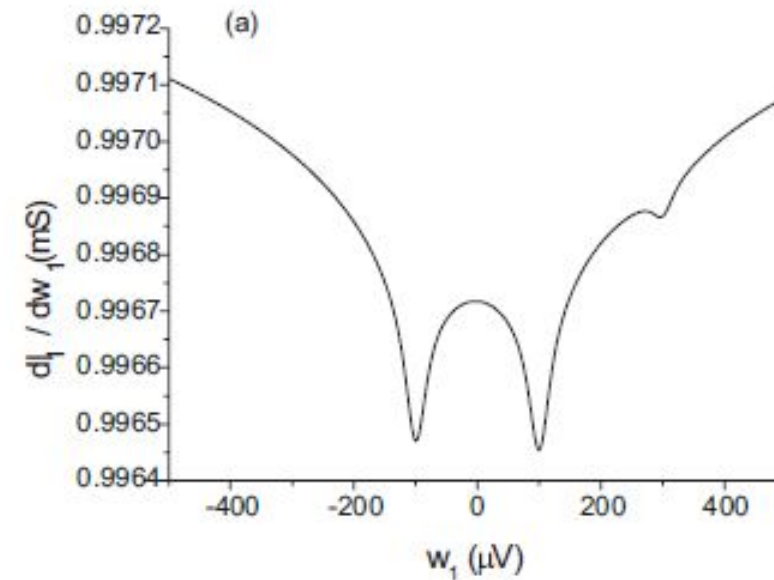
# Conductance with e-e interactions

$$|x_1 - x_2| \ll L_{\text{in}} \ll L$$



# Conductance with e-e interactions

$$\underline{L \ll L_{\text{in}}}$$



# Andreev reflection

N

S

e



$\Delta$

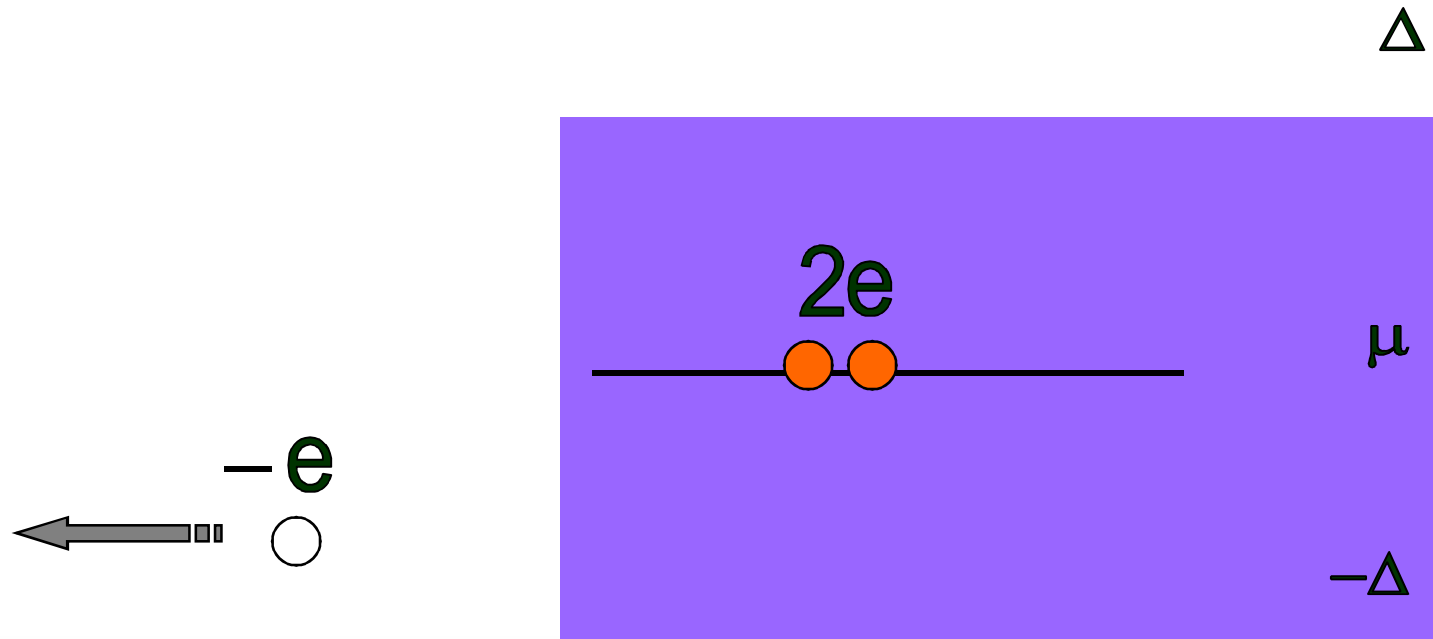
$\mu$

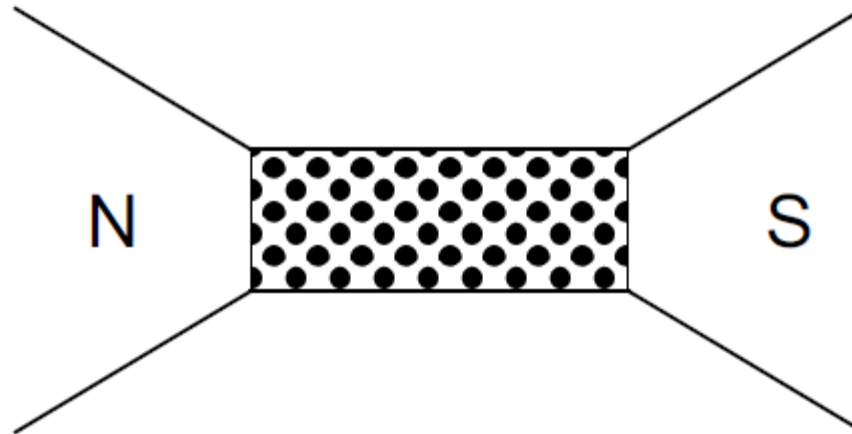
$-\Delta$

# Andreev reflection

N

S



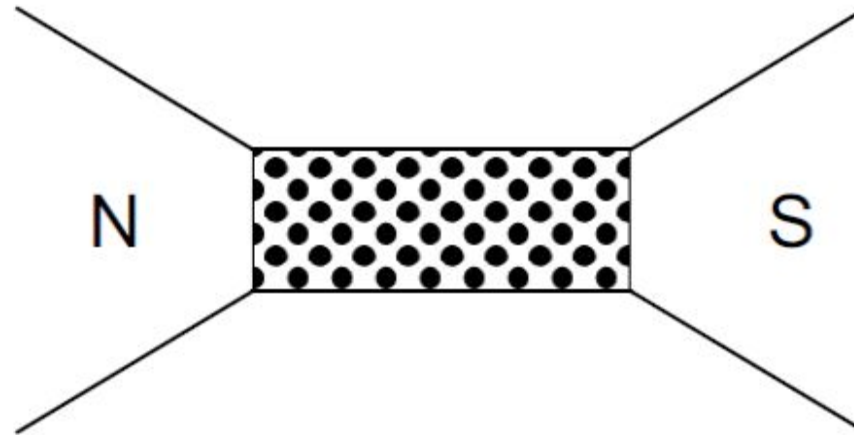


**NS conductance: Blonder, Tinkham, Klapwijk**

$$G_A = \frac{(2e)^2}{h} \sum_n T_n,$$

*Andreev transmission:*

$$T_n = T_n^2 / (2 - T_n)^2.$$

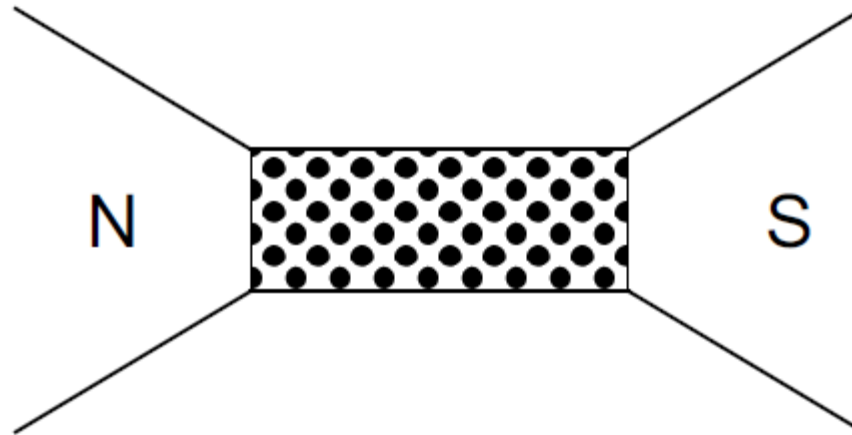


## Shot noise: de Jong-Beenakker

$$\langle |\delta I|^2 \rangle = 2e|V|G_A\beta_A, \quad \beta_A = \frac{\sum_n \mathcal{T}_n(1 - \mathcal{T}_n)}{\sum_n \mathcal{T}_n}$$

$$e^* = 2e$$

## Diffusive conductor



$$G_N = G_A, \quad \beta_N = \beta_A = 1/3$$

$$\langle |\delta I|^2 \rangle = 2e|V|G_N/3$$

Shot noise doubling in diffusive NS systems



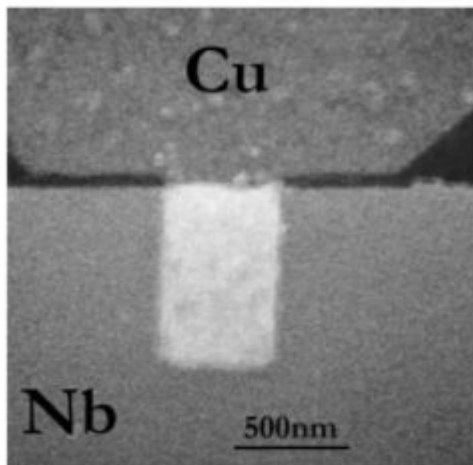
# letters to nature (2000)

## Detection of doubled shot noise in short normal-metal/superconductor junctions

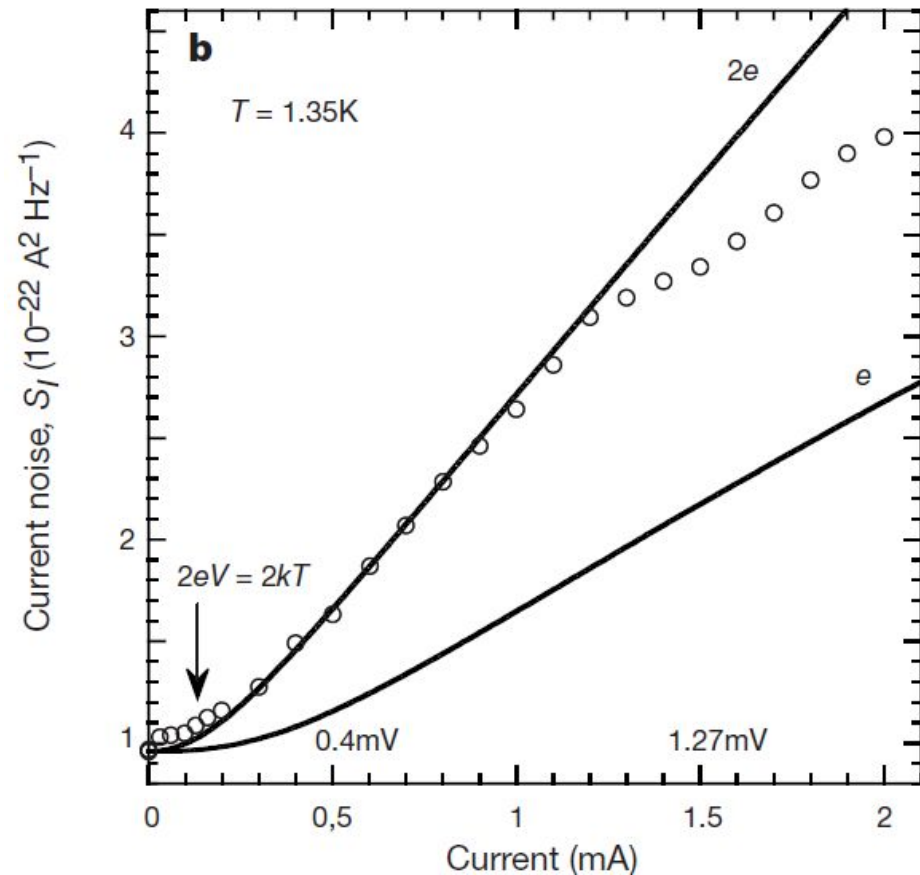
X. Jehl\*, M. Sanquer\*, R. Calemczuk\* & D. Mailly†

\* DRFMC-SPSMS, CEA-Grenoble, F-38054 Grenoble, France

† Laboratoire de Microstructures et de Microélectronique, CNRS-LMM, F-92225 Bagneux, France



$$S_I = \frac{2}{3} \left[ \frac{4k_B T}{R_d} + e^* I \coth \left( \frac{e^* V}{2k_B T} \right) \right]$$



# Observation of Photon-Assisted Noise in a Diffusive Normal Metal–Superconductor Junction

A. A. Kozhevnikov, R. J. Schoelkopf, and D. E. Prober

*Departments of Physics and Applied Physics, Yale University, New Haven, Connecticut 06520-8284*

(Received 12 November 1999)

$$T_N = S_I R_{\text{diff}} / (4k_B)$$

$$T_N = q_{\text{eff}} |V| / (6k_B) = (2e) |V| / (6k_B)$$

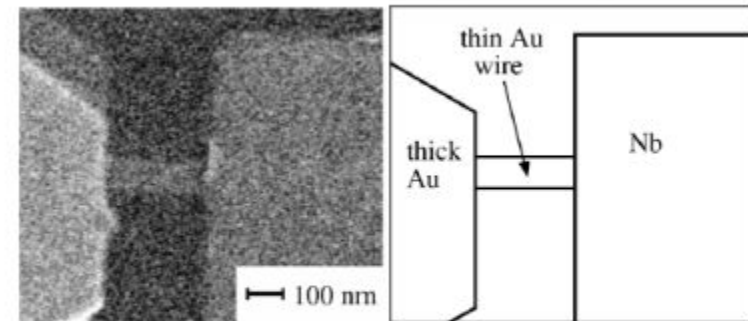
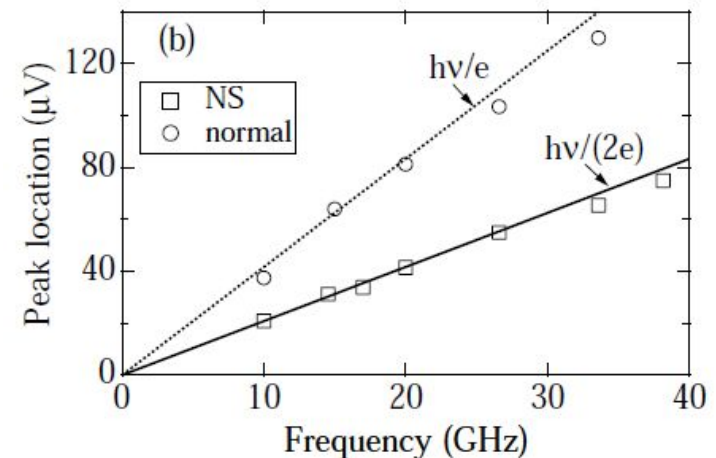
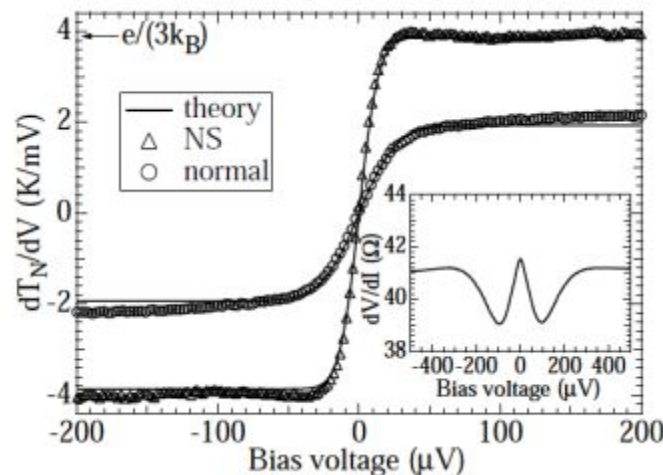
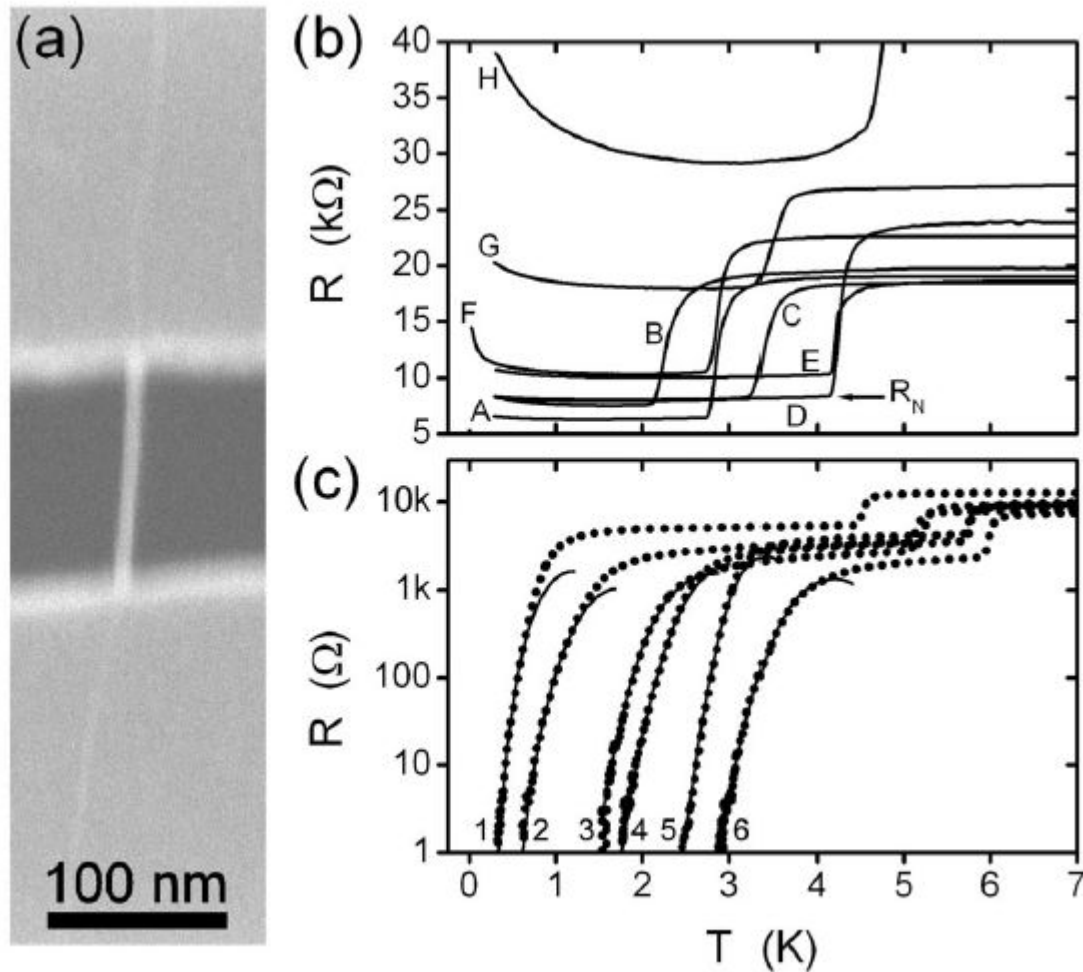


FIG. 1. SEM picture of the device and device schematic.





$$I(V) = VG_0 - \frac{e\beta k_B T}{\hbar} \text{Im} \left[ w\Psi \left( 1 + \frac{w}{2} \right) - iv\Psi \left( 1 + \frac{iv}{2} \right) \right],$$

where  $w = u + iv$ ,  $u = gE_C/\pi^2 k_B T$ ,  $v = eV/\pi k_B T$ , and  $\Psi(x)$  is the digamma function.

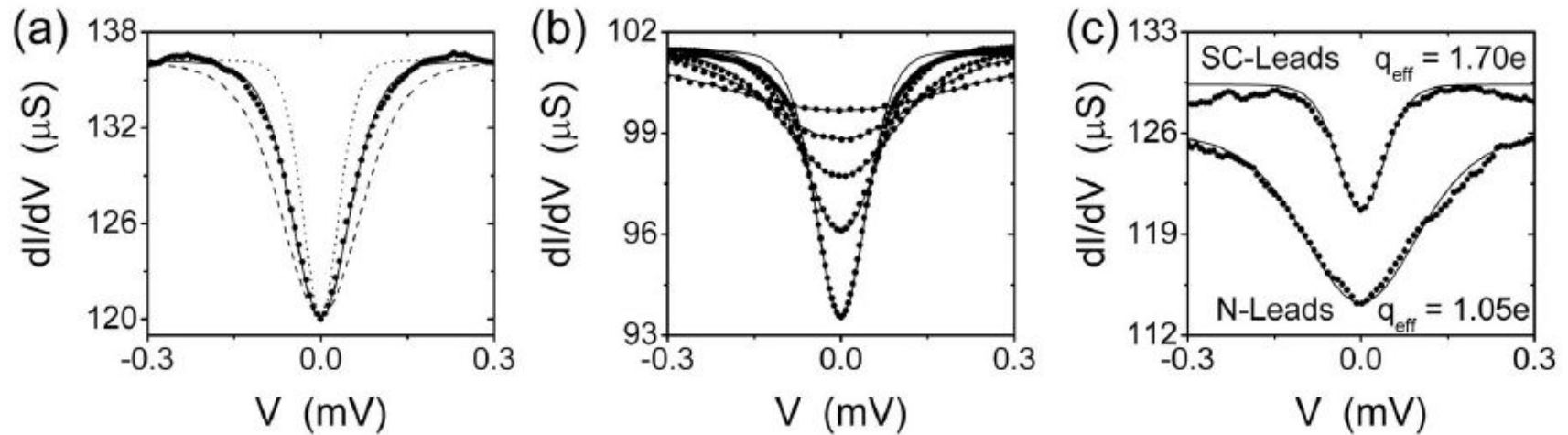
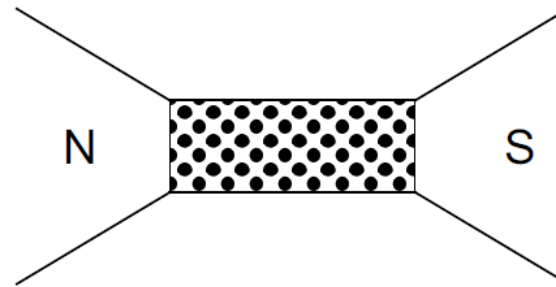


Fig. 3 – (a) The  $dI/dV$  vs.  $V$  curves for sample B at  $T = 0.28$  K. Comparisons to the GZ theory are shown with  $q_{eff} = e$  (dashed line),  $q_{eff} = 1.29e$  (solid line), and  $q_{eff} = 2e$  (dotted line). (b) The  $dI/dV$  vs.  $V$  curves for sample E at  $T = 0.3$  (deepest dip),  $0.5$ ,  $0.75$ ,  $1.0$ , and  $1.5$  K (shallowest dip).  $q_{eff} = 1.53e$ . (c) The  $dI/dV$  vs.  $V$  curves for sample D at two different magnetic fields. At  $B = 0$  the leads are superconducting and  $q_{eff} = 1.70e$  whereas at high field ( $B = 9$  T) the leads are driven normal and  $q_{eff}$  drops to  $1.05e$ . Solid lines are fits to the GZ theory.



## Shot noise:

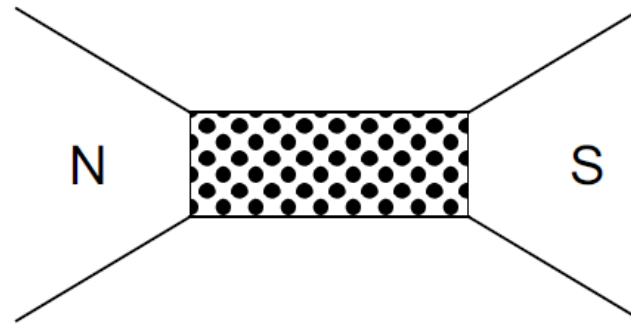


$$\frac{\langle |\delta I|_{\omega}^2 \rangle}{G_A} = (1 - \beta_A) \omega \coth \frac{\omega}{2T} + \frac{\beta_A}{2} \sum_{\pm} (\omega \pm 2eV) \coth \frac{\omega \pm 2eV}{2T}$$

**Reduces to:**

- De Jong-Beenakker'94 at  $T=0$
- Nagaev-Büttiker'02 in diffusive limit

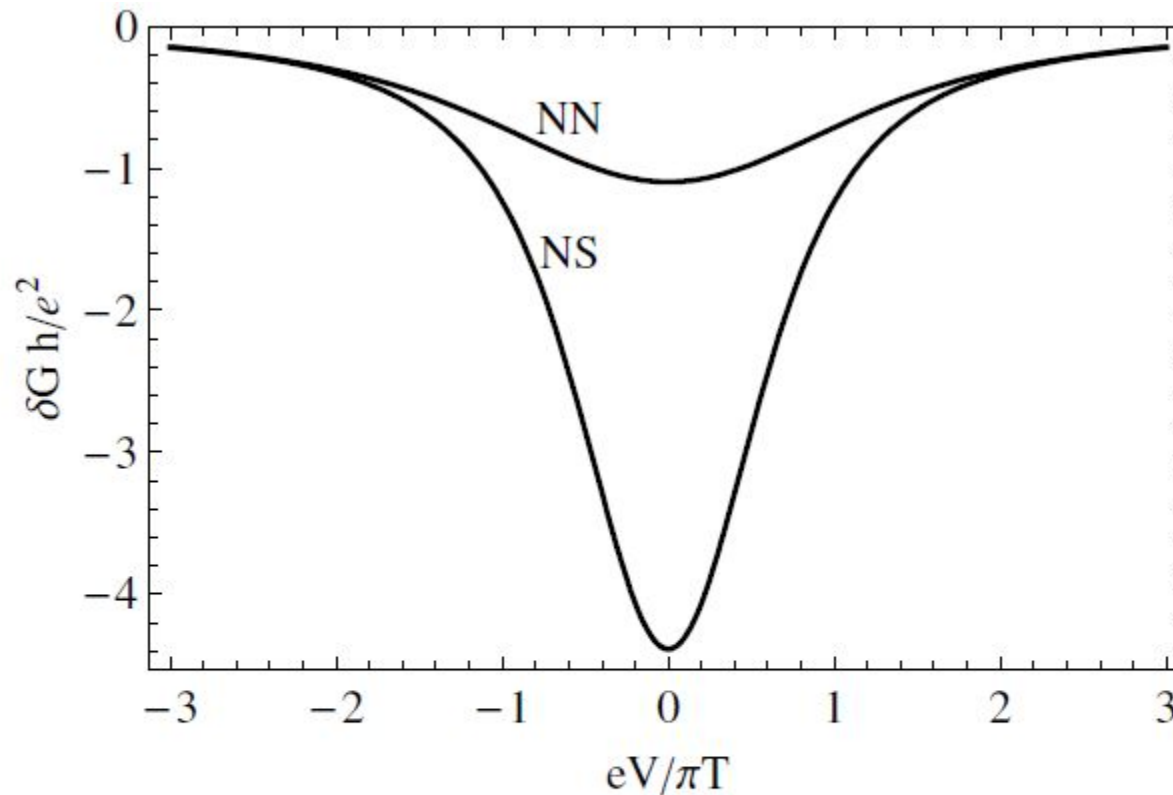
# Interaction correction



for  $g_A \gg 1$  or  $\max(T, eV) \gg E_C = e^2/2C$  we get


$$I = G_A V - 2e\beta_A T \operatorname{Im} \left[ w \Psi \left( 1 + \frac{w}{2} \right) - iv \Psi \left( 1 + \frac{iv}{2} \right) \right]$$

where  $\Psi(x)$  is the digamma function,  $w = g_A E_C / \pi^2 T + iv$  and  $v = 2eV / \pi T$ .



The interaction correction  $\delta G = dI/dV - G_N$  for short diffusive conductors at  $T = G_N/2\pi C$ . The upper and lower curves correspond to normal and NS structures respectively.

# Diffusive NS structures:

$$e^* = q_{\text{eff}} = 2e$$


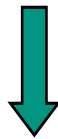
Effective charge  
measured from  
shot noise

Effective charge  
measured from  
e-e interactions



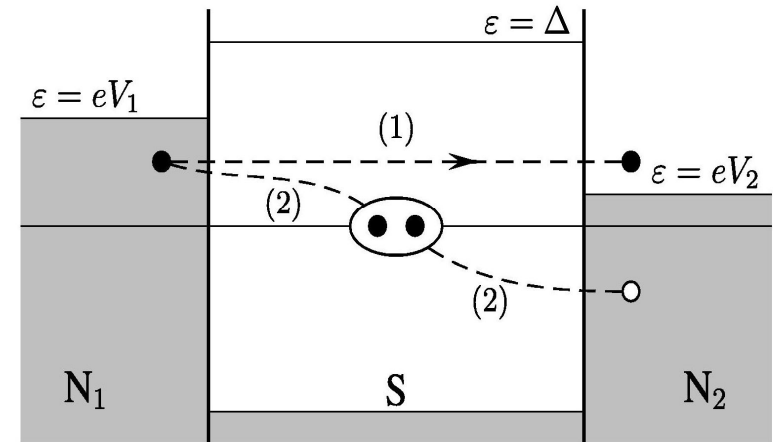
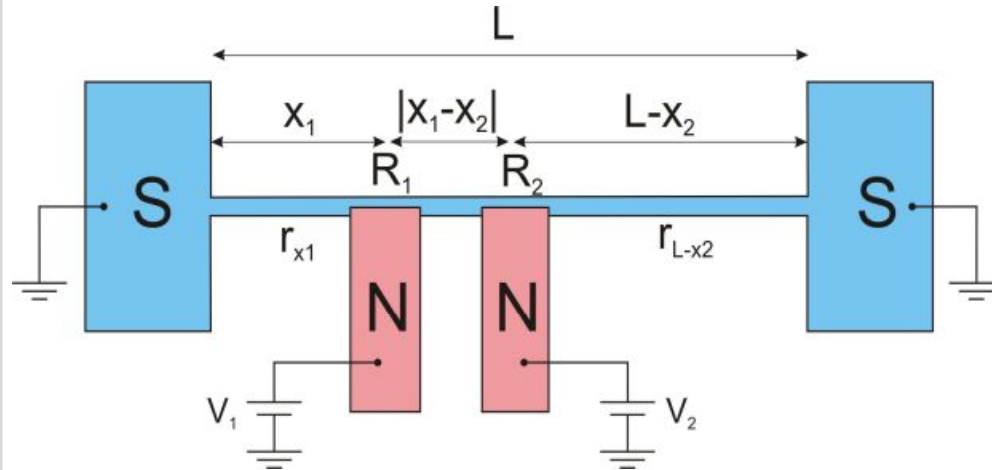
# Diffusive NS structures:

$$\text{e-e interaction correction in NS} = 4 \times \text{e-e interaction correction in NN}$$



$$\frac{(\text{effective charge})^2}{2} \times \frac{(\text{shot noise power})}{2}$$

# Crossed Andreev reflection



**$T=0$ , lowest order  
in transmission:**

**EC**

**CAR**

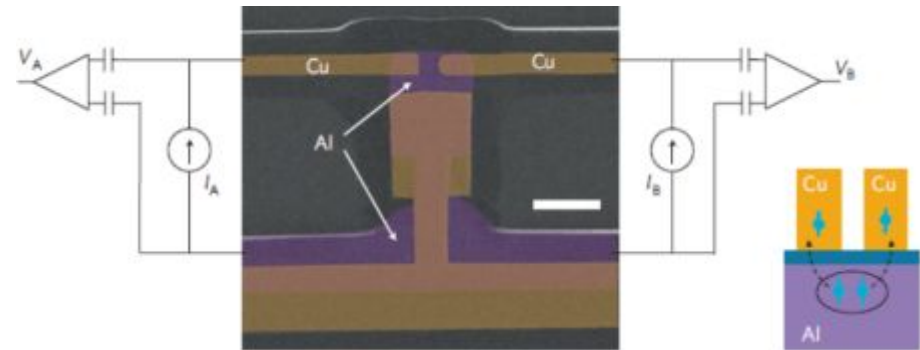
$$G_{12} = G_{(1)} - G_{(2)} = 0$$

**Falci, Feinberg,  
Hekking'01**

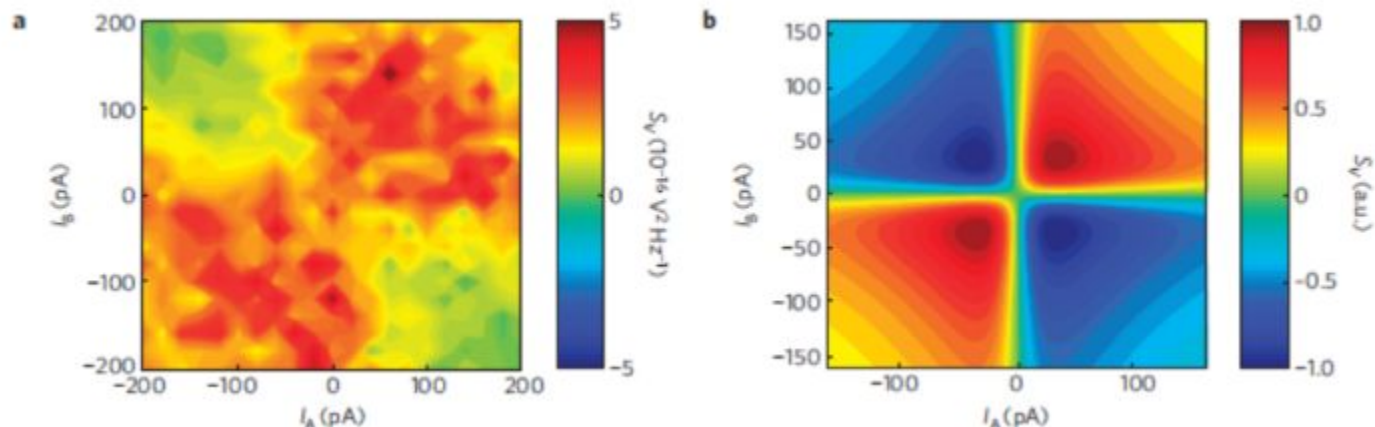
# Non-local shot noise in NSN heterostructures

## Theory, tunneling limit (Bignon, Housset, Pistoiesi, Hekking'04):

$$S_{AB} = S^{\text{CAR}} - S^{\text{EC}} = 2eG_Q \left[ (V_A + V_B) \coth \left( \frac{eV_A + eV_B}{2k_B T} \right) A^{\text{CAR}} - (V_A - V_B) \coth \left( \frac{eV_A - eV_B}{2k_B T} \right) A^{\text{EC}} \right]$$



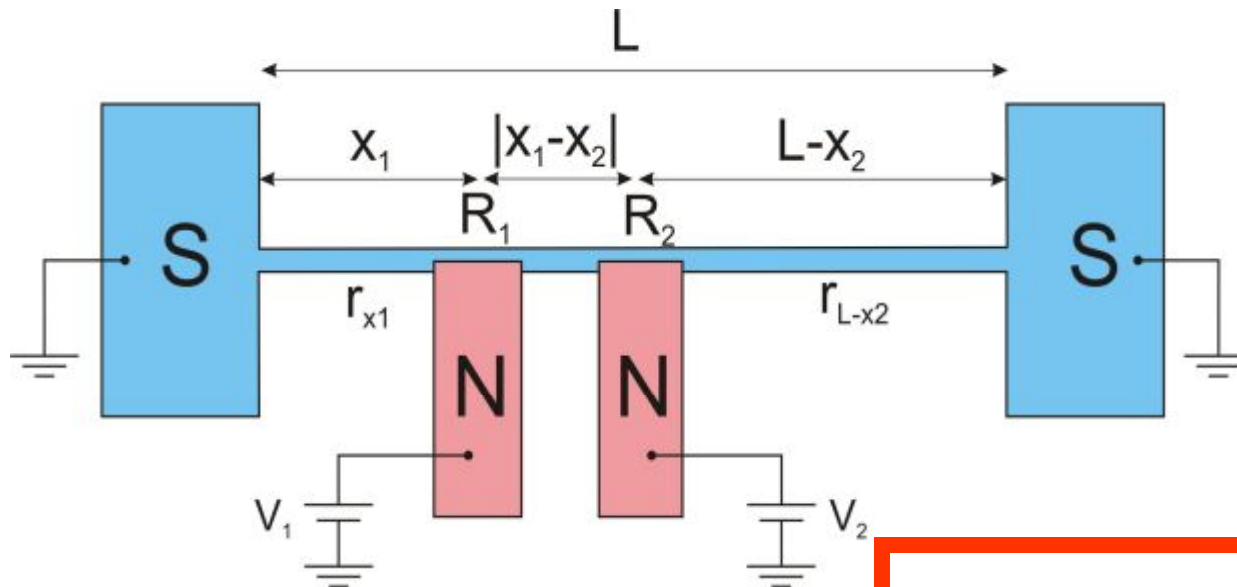
## Experiment (Wei, Chandrasekhar'10):



Ballistic NSN structures:  
NO contribution of CAR  
to non-local conductance  
at full transmissions

# Arbitrary interface transmissions + disorder

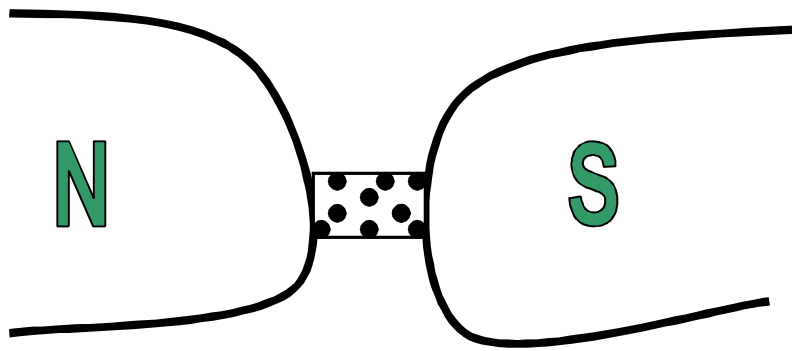
Golubev, Kalenkov, A.D.Z., PRL'09



$$T \ll \Delta$$



$$R_{12} = \frac{r_{\xi_S}}{2} e^{-|x_2 - x_1|/\xi_S}$$



$$H = H_0 + H_{\text{int}} + H_{\text{field}} ,$$

where

$$H_0 = \int d^3r \psi_{\sigma}^{\dagger}(\mathbf{r}) \left[ -\frac{\hbar^2}{2m} \left( \nabla - \frac{ie}{\hbar} \mathbf{A} \right)^2 - \mu + U(\mathbf{r}) \right] \psi_{\sigma}(\mathbf{r}) ,$$

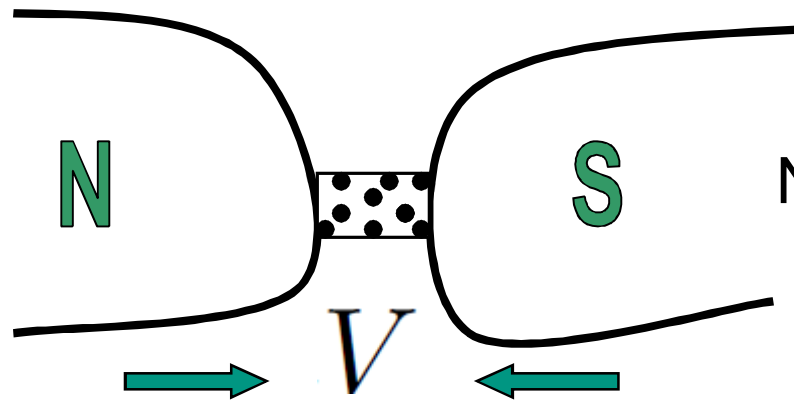
$$H_{\text{int}} = \int d^3r \int d^3r' \psi_{\sigma}^{\dagger}(\mathbf{r}) \psi_{\sigma'}^{\dagger}(\mathbf{r}') \left[ -\frac{1}{2} g(\mathbf{r}) \delta(\mathbf{r} - \mathbf{r}') \delta_{\sigma, -\sigma'} + e^2 v(\mathbf{r} - \mathbf{r}') \right] \psi_{\sigma'}(\mathbf{r}') \psi_{\sigma}(\mathbf{r}) ,$$

$$H_{\text{field}} = \int d^3r \frac{1}{8\pi} (\mathbf{h} - \mathbf{h}_x)^2 .$$

↑  
BCS

↕ Coulomb

$$v(\mathbf{r}) = 1/|\mathbf{r}|$$



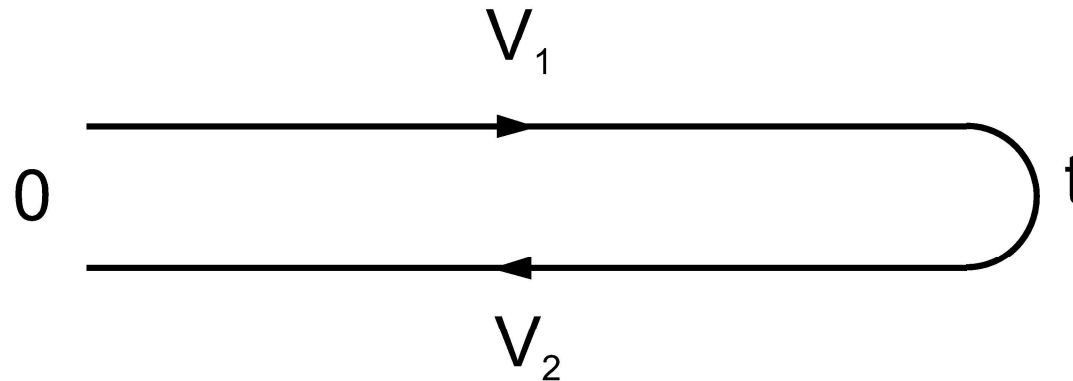
Noise and interaction effects

**Keldysh phases:**

$$\dot{\varphi}_{1,2}(t) = eV_{1,2}$$

$$J = \int \mathcal{D}\varphi_1 \mathcal{D}\varphi_2 \exp(iS_c[\varphi] + iS_t[\varphi])$$

# Real time dynamics: Keldysh contour



## Effective action

$$iS = 2\text{Tr} \ln \hat{G}_V^{-1} + i \int_0^t dt' \int d\mathbf{r} \frac{(\nabla V_1)^2 - (\nabla V_2)^2}{8\pi}.$$



## Charging term:

$$S_c[V] = \frac{C}{2e^2} \int_0^t dt' (\dot{\varphi}_1^2 - \dot{\varphi}_2^2) \equiv \frac{C}{e^2} \int_0^t dt \dot{\varphi}^+ \dot{\varphi}^-$$

$$\varphi_+ = (\varphi_1 + \varphi_2)/2 \quad \varphi_- = \varphi_1 - \varphi_2$$

## Electron transfer between N- and S-terminals:

$$S_t[\varphi] = -\frac{i}{2} \sum_n \text{Tr} \ln \left[ 1 + \frac{T_n}{4} (\{\check{G}_N, \check{G}_S\} - 2) \right]$$

**A.D.Z.'94, Snyman-Nazarov'08**

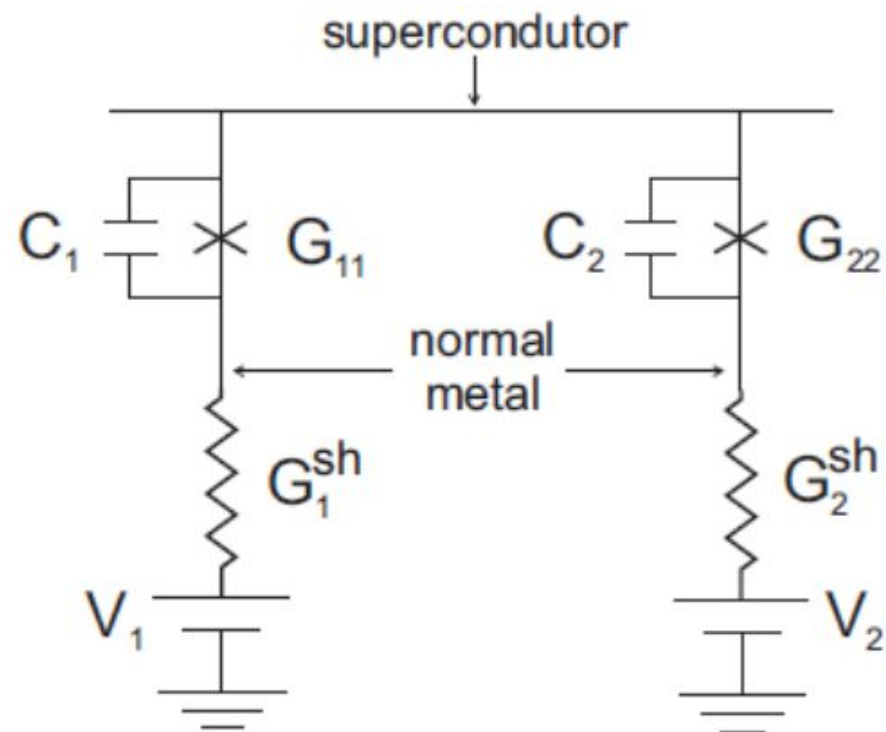
# Average current and current noise

$$\langle \hat{I}(t) \rangle = ie \int \mathcal{D}\varphi_{\pm} \frac{\delta}{\delta \varphi_{-}(t)} e^{iS[\varphi]},$$

$$\frac{1}{2} \langle \hat{I} \hat{I} \rangle_{+} = -e^2 \int \mathcal{D}\varphi_{\pm} \frac{\delta^2}{\delta \varphi_{-}(t) \delta \varphi_{-}(t')} e^{iS[\varphi]},$$

$$\langle \hat{I} \hat{I} \rangle_{+} = \langle \hat{I}(t) \hat{I}(t') + \hat{I}(t') \hat{I}(t) \rangle$$

# Non-local shot noise and e-e corrections



# Model and key assumptions

- $\tau_r = T_r^2 / (2 - T_r)^2$

i.e. transmissions are the same for all barrier channels

- Large dimensionless conductances

$$g_r = 2\pi(G_r^{\text{sh}} + G_{rr})/e^2 \gg 1$$

- Low energy limit  $T, eV_r \ll |\Delta|$

- $e^2 N_r T_r R_\xi / \pi \ll 1$

$$iS_T = iS_{11} + iS_{22} + iS_{12},$$

where

$$iS_{11} = -i\frac{G_{11}}{e^2} \int dt \dot{\varphi}_1 \varphi_1^- - \int dt dt' \frac{\varphi_1^-(t) \tilde{S}_{11}^{tt'} \varphi_1^-(t')}{2e^2},$$

$$iS_{12} = i\frac{G_{12}}{e^2} \int dt (\dot{\varphi}_1 \varphi_2^- + \dot{\varphi}_2 \varphi_1^-) - \int dt dt' \frac{\varphi_1^-(t) \tilde{S}_{12}^{tt'} \varphi_2^-(t')}{e^2},$$

and the term  $iS_{22}$  is obtained by interchanging the indices  
 $1 \leftrightarrow 2$

The functions  $\tilde{\mathcal{S}}_{rl}^{tt'}$  read

$$\begin{aligned}\tilde{\mathcal{S}}_{11}^{tt'} = & G_{11}M(t-t')(1 - \beta_1 + \beta_1 \cos[2\varphi_1^{tt'}]) + 2G_{12}M(t-t') \\ & \times (\alpha_1 - \eta_1 \cos[2\varphi_1^{tt'}]) + (G_{12}/2)M(t-t')(\kappa_1^+ \cos[\varphi_1^{tt'} \\ & + \varphi_2^{tt'}] + \kappa_1^- \cos[\varphi_1^{tt'} - \varphi_2^{tt'}]),\end{aligned}$$

$$\begin{aligned}\tilde{\mathcal{S}}_{12}^{tt'} = & -G_{12}M(t-t')(1 - \beta_1 + \beta_1 \cos[2\varphi_1^{tt'}]) - G_{12}M(t-t')(1 \\ & - \beta_2 + \beta_2 \cos[2\varphi_2^{tt'}]) + (G_{12}/2)M(t-t')(\gamma_+ \cos[\varphi_1^{tt'} \\ & + \varphi_2^{tt'}] - \gamma_- \cos[\varphi_1^{tt'} - \varphi_2^{tt'}]).\end{aligned}$$

Here we denoted  $\varphi_r^{tt'} = \varphi_r(t) - \varphi_r(t')$

$$M(t) = \int \frac{d\omega}{2\pi} e^{i\omega t} \omega \coth \frac{\omega}{2T} = - \frac{\pi T^2}{\sinh^2(\pi T t)}$$



The functions  $\tilde{\mathcal{S}}_{rl}^{tt'}$  read

$$\begin{aligned} \tilde{\mathcal{S}}_{11}^{tt'} = & G_{11}M(t-t')(1 - \beta_1 + \beta_1 \cos[2\varphi_1^{tt'}]) + 2G_{12}M(t-t') \\ & \times (\alpha_1 - \eta_1 \cos[2\varphi_1^{tt'}]) + (G_{12}/2)M(t-t')(\kappa_1^+ \cos[\varphi_1^{tt'} \\ & + \varphi_2^{tt'}] + \kappa_1^- \cos[\varphi_1^{tt'} - \varphi_2^{tt'}]), \end{aligned}$$

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Here we denoted  $\varphi_r^{tt'} = \varphi_r(t) - \varphi_r(t')$   $\beta_r = 1 - \tau_r$ ,

$$\kappa_r^\pm = \pm(4\tau_r - 3) + 1/\sqrt{\tau_1\tau_2} \quad (r = 1, 2),$$

$$\gamma_\pm = \pm 1 + (1 - 2\tau_1 - 2\tau_2 + 4\tau_1\tau_2)/\sqrt{\tau_1\tau_2}$$

Our non-local action is equivalent to the following Langevin equations:

$$C_1 \dot{v}_1 + (G_1^{\text{sh}} + G_{11})v_1 - G_{12}v_2 = G_1^{\text{sh}}V_1 + \xi_1^{\text{sh}} + \xi_1,$$

$$C_2 \dot{v}_2 + (G_2^{\text{sh}} + G_{22})v_2 - G_{12}v_1 = G_2^{\text{sh}}V_2 + \xi_2^{\text{sh}} + \xi_2,$$

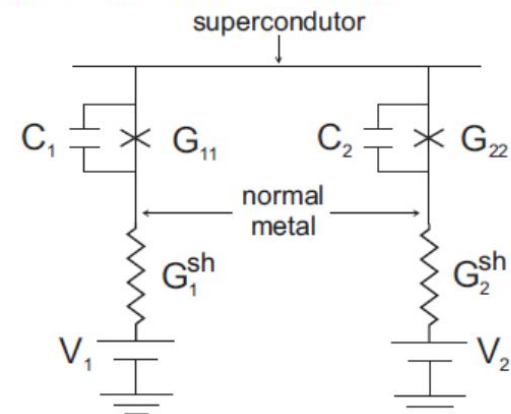
which describe the current balance in our system. Here  $\xi_r^{\text{sh}}$  are stochastic variables with pair correlators

$$\langle \xi_r^{\text{sh}}(t) \xi_r^{\text{sh}}(t') \rangle = G_r^{\text{sh}} M(t - t'),$$

describing Gaussian current noise in the shunt resistors while the variables  $\xi_r$  with the correlators

$$\langle \xi_r(t) \xi_l(t') \rangle = \tilde{S}_{rl}^{tt'}$$

describe shot noise in NS barriers.





# Non-local shot noise:

$$\mathcal{S}_{12}(t, t') = \langle I_1(t)I_2(t') + I_2(t)I_1(t') \rangle$$

$$\begin{aligned} \mathcal{S}_{12}(\omega) = & -2G_{12}(2 - \beta_1 - \beta_2)W(\omega, 0) \\ & - 2G_{12}\beta_1 W(\omega, 2V_1) - 2G_{12}\beta_2 W(\omega, 2V_2) \\ & + G_{12}\gamma_+ W(\omega, V_1 + V_2) - G_{12}\gamma_- W(\omega, V_1 - V_2), \end{aligned}$$

where



**Positive cross-correlations  
due to CAR!**

$$W(\omega, V) = \frac{1}{2} \sum_{\pm} (\omega \pm eV) \coth \frac{\omega \pm eV}{2T}.$$

$$\beta_r = 1 - \tau_r,$$

$$\kappa_r^{\pm} = \pm(4\tau_r - 3) + 1/\sqrt{\tau_1\tau_2} \quad (r = 1, 2),$$

$$\gamma_{\pm} = \pm 1 + (1 - 2\tau_1 - 2\tau_2 + 4\tau_1\tau_2)/\sqrt{\tau_1\tau_2}$$

## Full transmissions, zero frequency limit:

$$\mathcal{S}_{12}(0) = -8TG_{12} + 2eG_{12}(V_1 + V_2) \coth \frac{e(V_1 + V_2)}{2T}$$

only positive cross-correlations  
due to CAR at  $T=0$ !

# Electron-electron interactions

- $$\partial I_1 / \partial V_1 = G_{11} - (4G_{11}\beta_1 - 8G_{12}\eta_1)F(2V_1)/g_1$$

$$- \delta G_+ F(V_1 + V_2) - \delta G_- F(V_1 - V_2),$$

$$\eta_r = 2\tau_r(1 - \tau_r)/\sqrt{\tau_1\tau_2},$$

- $$\partial I_1 / \partial V_2 = -G_{12}[1 - (4\beta_2/g_2)F(2V_2)]$$

$$- \delta G_+ F(V_1 + V_2) + \delta G_- F(V_1 - V_2),$$

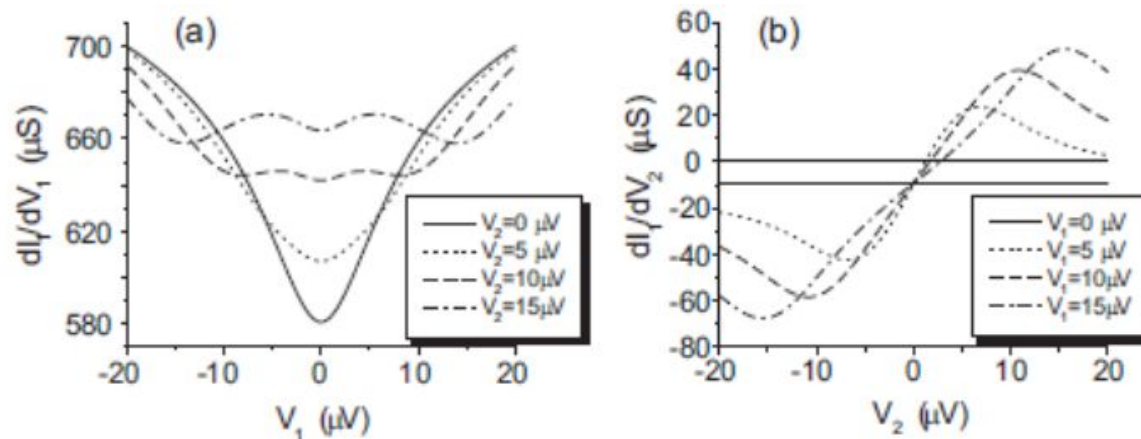
where  $\delta G_{\pm} = G_{12} (\kappa_1^{\pm}/g_1 + \gamma_{\pm}/g_2)$ , **Coulomb anti-blockade due to CAR**

$$F(x) = \text{Re} [\Psi(1 + k + iax) + (k + iax)\Psi'(1 + k + iax)$$

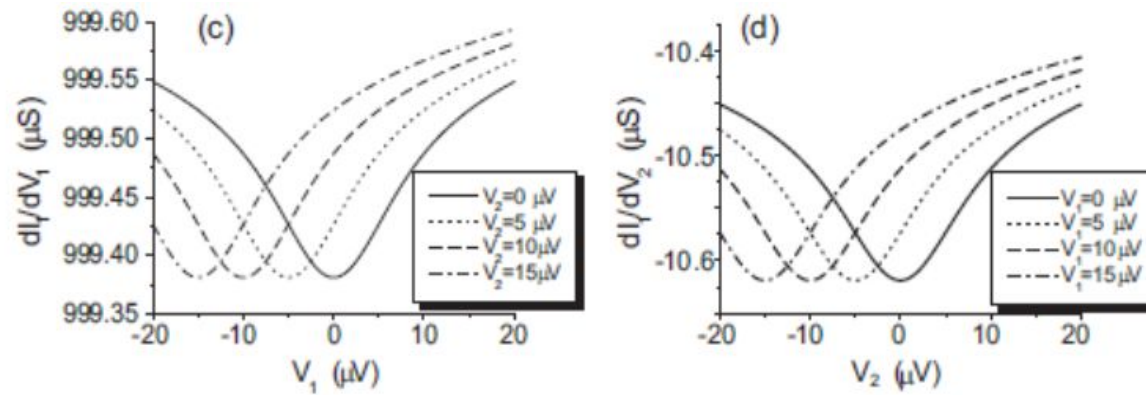
$$- \Psi(1 + iax) - iax\Psi'(1 + iax)],$$

$\Psi(x)$  is the digamma function,  $a = e/2\pi T$   
and  $k = 1/2\pi T\tau_{RC}$ .

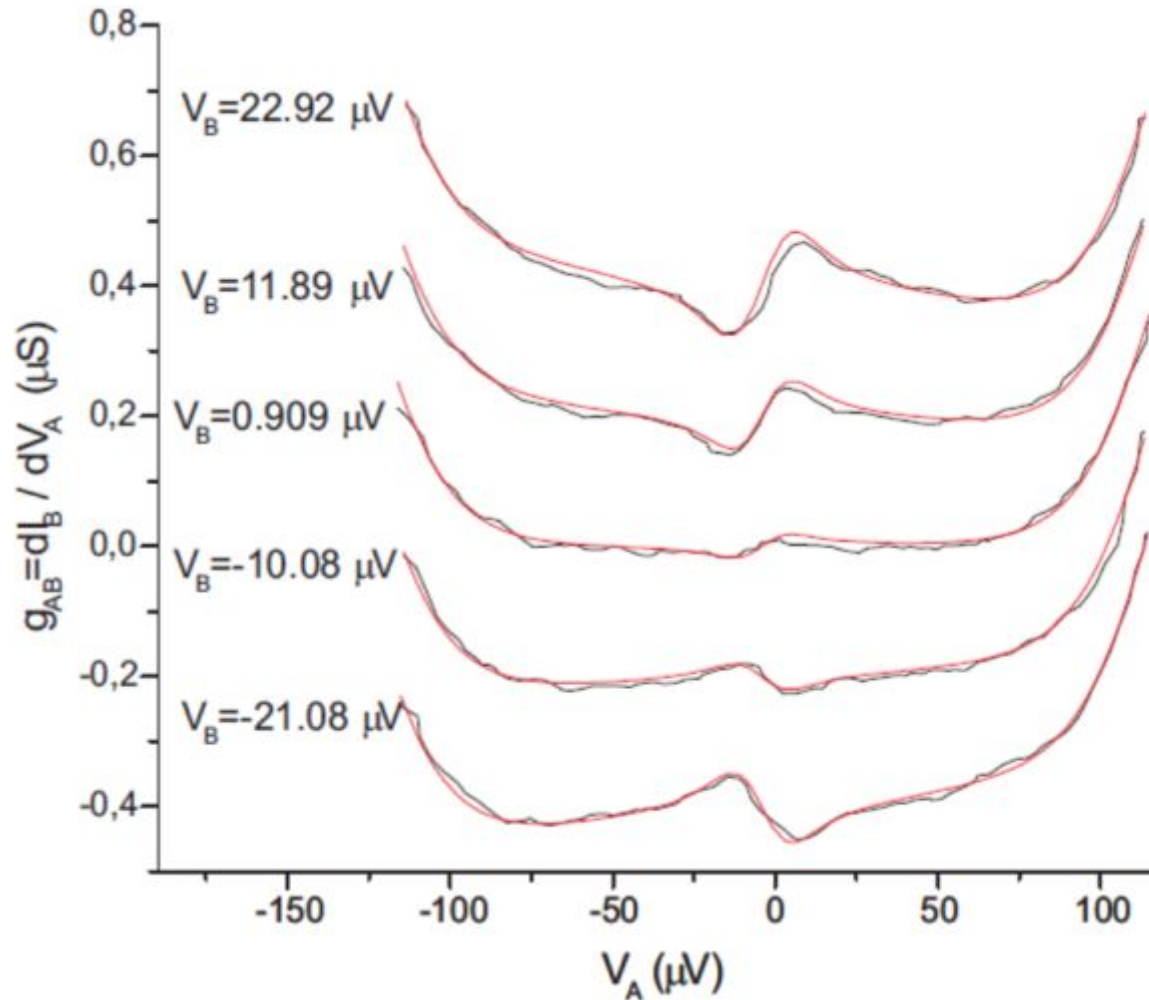
# Interaction corrections: tunneling limit



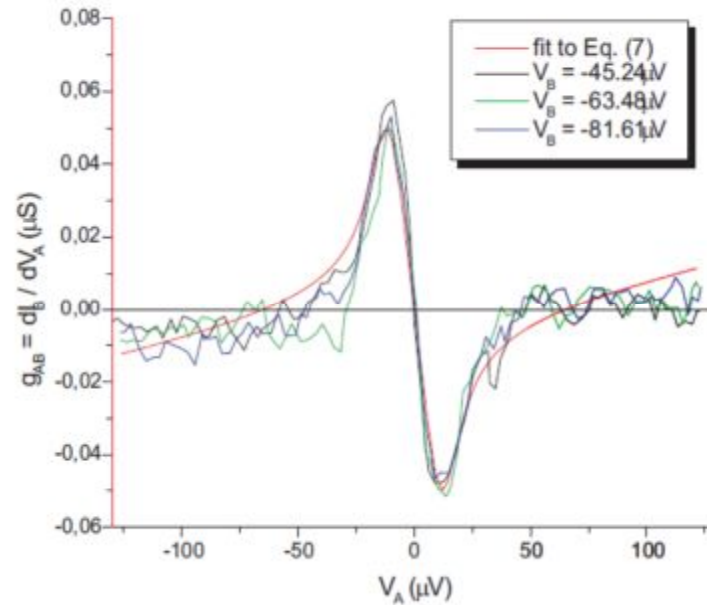
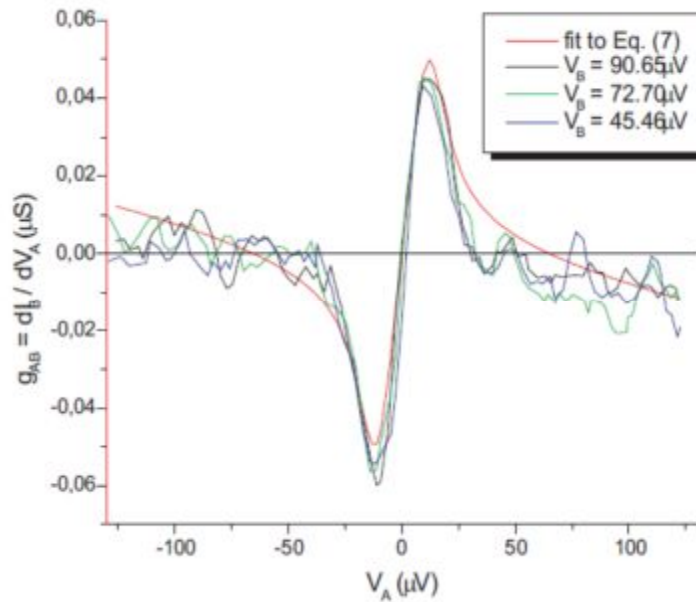
# Interaction corrections: full transmissions



## Preliminary comparison with Beckmann's experiments



# Preliminary comparison with Beckmann's experiments



- Fundamental relation between shot noise and Coulomb effects in NS (local transport) and NSN (non-local transport)
- NSN structures: positive cross-correlations of shot noise due to CAR, dominate at large transmissions
- Non-local transport in NSN structures in the tunneling regime: (a) no effect in the linear in voltage regime and (b) S-shaped non-local conductance beyond linear regime
- Non-local transport in NSN structures with high transmissions: Coulomb anti-blockade due to CAR
- Good agreement with recent experiments

Thank you!