

Quantum plasmadynamics and quantum fluid theory

Don Melrose

School of Physics University of Sydney

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Overview

Quantum plasmadynamics

Quantum plasma effects

Applications of QPD

Quantum fluid theory (QFT)

Quantum Zakharov equations

Discussion & conclusions

Questions

How to synthesize kinetic theory of plasmas & QED?

- ▶ both theories describe interaction of photons & electrons
- kinetic theory based on self-consistent field cooperative effects treated classically
- QED is a single-particle theory
- includes all relativistic quantum effects

How to rewrite kinetic theory in covariant notation?

- using formalism for the vacuum polarization tensor
- use forward-scattering to calculate response tensors

How to include plasma responses using QED?

- identify relevant Lagrangians
- generalization then almost trivial

How to include magnetic field into the theory?

► replace all wavefunctions and propagators with relevant exact solutions of Dirac's equation with B ≠ 0

Classical covariant formulation

Non-covariant forms of the response

• induced current expanded in powers of electric field $J_i(\omega, \mathbf{k}) = \sigma_{ij}(\omega, \mathbf{k}) E_j(\omega, \mathbf{k}) + \text{nonlinear terms}$ $K_{ij}(\omega, \mathbf{k}) = \delta_{ij} + i\sigma_{ij}(\omega, \mathbf{k}) / \varepsilon_0 \omega$

Covariant formulation of kinetic theory of plasmas

- induced 4-current in proportional to 4-potential
- linear polarization tensor: $J^{\mu}(k) = \Pi^{\mu\nu}(k) A_{\nu}(k)$
- charge-continuity & gauge-invariance: $k_{\mu}\Pi^{\mu\nu}(k) = 0 = k_{\nu}\Pi^{\mu\nu}(k)$
- determines Π^{μν}(k) in terms of response 3-tensor
 D.B. Melrose (1973)
- allows covariant formulation of wave dispersion
- extension to nonlinear responses straightforward
- quadratic response $\Pi^{\mu\nu\rho}(k, k_1, k_2)$, $k^{\mu} = k_1^{\mu} + k_2^{\mu}$ \implies 3-wave coupling
- effective cubic response = cubic + 2 quadratic responses $\Pi^{\mu\nu\rho\sigma}_{\rm eff}(k, k_1, k_2, k_3) \implies \text{nonlinear wave equation}$

Forward scattering method

Linear response tensor

- forward scattering: k' = k, p' = p
- ▶ all particles scatter in phase \implies 4-current $J^{\mu}(k)$
- allows covariant derivation of linear response tensor
- straightforward generalization to nonlinear responses



Response tensors from QED

Linear response tensor

- forward scattering implicit in vacuum polarization tensor
- 'cut' diagram to include on-shell contribution Cutkovsjy (1960)
- statistical averages of Feynman propagators generalization of thermal Green's function
- Inear response from statistical average of bubble diagram



Quadratic & cubic response tensors

- quadratic & cubic responses from triangle & box diagrams
- includes vacuum & plasma contributions



Three approaches to QPD

Density-matrix approach generalizes Harris' (1967) method

- evolution of density matrix for electrons
- operator-based evolution = Heisenberg picture
- no non-quantum counterpart

Wigner-matrix approach developed by Hakim & Sivak

- Wigner-Moyal function in Schrödinger theory
- generalize to Wigner matrix in Dirac theory
- wave-function-based evolution = Schrödinger picture
- counterpart of non-quantum Vlasov approach

Green's function approach Tsytovich (1961)

- S-matrix approach = interaction picture
- statistically averaged propagators (Green's functions)
- 'photon' propagator includes all plasma wave modes
- particles & waves described by occupation numbers
- closely analogous method from quark-gluon-plasma approach
 E. Braaten, D. Segel (1993)

Quantum plasma effects

Degeneracy

► included in early theories of solid state plasmas Quantum recoil

• classical resonance condition $\omega - \mathbf{k} \cdot \mathbf{v} = 0$

conservation of energy & momentum in emission

$$\varepsilon \to \varepsilon' = \varepsilon - \hbar\omega, \mathbf{p} \to \mathbf{p}' = \mathbf{p} - \hbar\mathbf{k} (m^2c^4 + |\mathbf{p} - \hbar\mathbf{k}|^2c^2)^{1/2} = (m^2c^4 + \mathbf{p}^2c^2)^{1/2} - \hbar\omega$$

 $ightarrow \Longrightarrow$ quantum recoil term in resonance condition

$$\omega - \mathbf{k} \cdot \mathbf{v} - \frac{\hbar(\omega^2 - \mathbf{k}^2 c^2)}{2\varepsilon} = 0$$

Nonrelativistic derivation of recoil

- $\mathbf{r} \varepsilon \to mc^2 + \mathbf{p}^2/2m \implies |\mathbf{p} \hbar \mathbf{k}|^2/2m = \mathbf{p}^2/2m \hbar \omega$
- $\blacktriangleright \implies$ quantum recoil term in resonance condition

$$\omega - \mathbf{k} \cdot \mathbf{v} + rac{\hbar \mathbf{k}^2}{2m} = 0$$
 (no ω^2 -term!)

 \blacktriangleright nonrelativistic treatment valid only for $\omega^2 \ll {\bf k}^2 c^2$

Spin

- spin-polarized electrons modifies linear response to order \hbar
- unpolarized electrons \implies average over spins
- different from spin-0 particles (boson plasma)

Vacuum polarization & critical fields

- vacuum birefringent for $B/B_c \neq 0$
- ▶ vacuum quadratic nonlinear response for $B/B_c \neq 0$

One-photon pair creation (PC)

- PC included in vacuum polarization tensor: $\mathrm{Im}\,\Pi^{\mu
 u}(k)$
- electron gas partially suppresses PC (Pauli exclusion)
- PC introduces an additional source of dispersion in RQ plasma
- $\blacktriangleright \implies$ existence intrinsically RQ 'pair' modes

Quantum diffusion & tunneling

- quantum phenomena in (t, \mathbf{x})
- must be included in ω, \mathbf{k} description
- where specifically?

Applications of QPD

Neutrino emission from compact stars

- neutrino losses: cooling mechanism for compact stars
- 'plasma process'—plasmons decay into neutrino pairs
- dispersion of plasmons in relativistic, degenerate plasms
- inclusion of B/B_c in dispersion theory

Early Universe

- dispersion in hot dense plasma
- is one-photon pair creation possible?
- what role do pair modes play?

Pulsars and magnetars

- wave dispersion in pulsar magnetosphere
- interpretation of polarization of pulsar emission
- dispersion for $B \gg B_c$ relevant for magnetars

Dispersion in RQ plasmas

Known results in relativistic degenerate plasma

- Friedel oscillations in relativistic degenerate plasma due to Kohn singularity in K^L(0, k), at |k| = 2p_F
- Pauli spin paramagnetism : Landau diamagnetism = 3 : -1 A.A. Rudkadze, V.P. Silin (1960)

Dispersion of plasmons in completely degenerate plasma

- ► approximate dispersion relation known since the 1950s: $\omega^2 = \omega_p^2 + \frac{3}{5} v_F^2 + \hbar k^4 / 4 m_e^2$
- high-frequency turnover exists V.S. Krivitskii, S.V. Vladimirov (1991)
- relativistic case: Jancovici (solid) Lindhard (dashed):

$$p_{F}/m = 0.5$$

$$p_F/m = 5$$



Dispersion of L-waves in relativistic thermal plasmas



Superdense plasmas

Cutoff frequency

• cutoff frequency, ω_c : same for L & T waves

$$\omega_c^2 = \frac{4\mu_0 e^2}{3\pi^2} \int d\varepsilon |\mathbf{p}| \,\bar{n}(\varepsilon) \, \frac{3\varepsilon^2 - |\mathbf{p}|^2 - 3\omega_c^2/4}{4\varepsilon^2 - \omega_c^2}$$

- ▶ superdense plasmas $\omega_p \gg m$: cutoff above PC threshold?
- relevant to the early Universe when $\omega_p \gg 2m$?
- effect of macroscopic mass renormalization?



Pair modes

Pair modes (B = 0)

- pair modes have $\omega \gtrsim 2m$
- exist in degenerate spin 0 & spin 1 plasma V. Kowalenko, N.E. Frankel, K.C. Hines (1985); D.B Melrose, D.R.M.Williams (1989)
- do not exist in degenerate electron gas
- exist for transverse mode in spin 1 plasma

Pair modes ($\mathbf{B} \neq 0$)

- pair modes exist in magnetized electron gas
- associated with thresholds for pair creation
- ▶ Landau quantum numbers n, n' for e^{\pm} P. Pulsifer, G. Kalman (1992)
- like gyromagnetic modes associated with singularities response

Interpretation & implication of pair modes

- analogy with Cooper pairs? No
- physical implications of pair modes?

Generalization of QPD to $\mathbf{B} \neq \mathbf{0}$

Dirac electron for $\textbf{B} \neq 0$

► discrete Landau levels with energy $\varepsilon_n(p_z) = (m^2 + p_z^2 + 2neB)^{1/2}$

• critical field $B_c = mc^2/\hbar e = 4.4 \times 10^9 \, {\rm T}$

energy diagram for
$$p_z = 0$$
, $B/B_c = 1$



Choice of gauge and spin operator

- general forms for the response tensor long been available D.B. Melrose, A.J. Parle (1983)
- depends on choice of gauge (for B) & spin operator
- ► relevant spin operator identified by A.A. Sokolov, I.M. Ternov (1968)
- gauge & spin independent result using method of Ritus V.I. Ritus (1970); A.J. Parle (1985)

Simplified form for pulsar magnetospheres

- Gyromagnetic losses $\implies n = 0$ for all electrons
- ▶ highly relativistic, streaming, 1D, pair plasma with $B \sim 0.1 B_c$
- relevant response tensor used to derive wave properties
- polarization properties of particular interest

Quantum fluid theory (QFT)

QFT approach F. Haas, G. Manfredi, M. Feix (2000)

- derive fluid model incorporating quantum effects
- quantum effects included in Bohm potential
- QFT used to include quantum terms in linear waves
- QFT used to derive 1D quantum Zakharov equations

Comparison QFT & QPD

- QPD derivation establishes limits of QFT approach
- provides new physical insight
- QPD allows various generalizations

QPD approach

- derive relevant approximations for $\Pi^{\mu\nu}(k)$
- apply to 1D longitudinal case
- compare with QFT
- derive quantum Zakharov equations

1D Wigner-Poisson system

1D Schrödinger equation

$$i\hbar \frac{\partial \psi(x,t)}{\partial t} = -\frac{\hbar^2}{2m} \frac{\partial^2 \psi(x,t)}{\partial x^2} - e\phi(x,t)\psi(x,t) = 0$$

▶ 1D Wigner function defined by

$$f(x, p, t) = \int dy \,\psi^*(x - \frac{1}{2}y, t)\psi(x + \frac{1}{2}y, t) \exp\left(\frac{-ipy}{\hbar}\right)$$

1D Poisson equation

$$\frac{d^2\phi(x,t)}{dx^2} = \frac{e}{\varepsilon_0} \left[\int \frac{dp}{2\pi\hbar} f(x,p,t) - n_e \right]$$

► 1D Vlasov-like equation G. Manfredi, F. Haas (2001)

$$\begin{bmatrix} \frac{\partial}{\partial t} + \frac{p}{m} \frac{\partial}{\partial x} \end{bmatrix} f(x, p, t) - \frac{ie}{\hbar} \int \frac{dp'dy'}{2\pi\hbar} \left[\phi(x - \frac{1}{2}y', t) - \phi(x + \frac{1}{2}y', t) \right] \exp\left(\frac{i(p - p')y'}{\hbar}\right) f(x, p', t) = 0$$

1D quantum fluid equations

- \blacktriangleright zeroth and first moments \Longrightarrow
- continuity: $\partial n_e / \partial t + \partial (n_e u_e) / \partial x = 0$
- equation of fluid motion for electrons

$$\frac{du_e}{dt} = -\frac{e}{m_e}E - \frac{1}{n_em_e}\frac{\partial P_e}{\partial x} + \frac{\hbar^2}{2m_e^2}\frac{\partial}{\partial x}\left[\frac{1}{\sqrt{n_e}}\frac{\partial^2\sqrt{n_e}}{\partial x^2}\right]$$

•
$$P_e = n_e m_e V_e^2$$
 'classical' pressure term

'quantum' pressure term is the Bohm term

Role of Bohm potential

- linearizing and Fourier transforming (k is 1D wavenumber)
- Langmuir: $\omega^2 = \omega_p^2 + k^2 V_e^2 + \hbar^2 k^4 / 4m_e^2$

• ion sound:
$$\omega^2 = k^2 v_s^2 + \hbar^2 k^4 / 4m_e m_i$$

Bohm term corresponds to quantum recoil

Linear response tensor in QPD

Derivation of recoil terms from exact theory

• QPD form for $\Pi^{\mu\nu}(k)$:

$$\Pi^{\mu\nu}(k) = -2e^2 \int \frac{d^3\mathbf{p}}{(2\pi)^3} \,\frac{\bar{n}(\mathbf{p})}{\varepsilon} \,\frac{(ku)^2}{(ku)^2 - (k^2/2m)^2} \,a^{\mu\nu}(k,u),$$
$$a^{\mu\nu}(k,u) = g^{\mu\nu} - \frac{k^{\mu}u^{\nu} + k^{\nu}u^{\mu}}{ku} + \frac{k^2u^{\mu}u^{\nu}}{(ku)^2}.$$

differs from relativistic classical form by

$$\frac{1}{\gamma^2(\omega-\mathbf{k}\cdot\mathbf{v})^2} \rightarrow \frac{1}{\gamma^2(\omega-\mathbf{k}\cdot\mathbf{v})^2 - \hbar^2(\omega^2-\mathbf{k}^2c^2)^2/4m^2c^4}$$

- nonrelativistic approximation: $\gamma \rightarrow 1$
- ► "strictly" nonrelativistic approximation: $c \to \infty$ ⇒ denominator $\to (\omega - \mathbf{k} \cdot \mathbf{v})^2 - \hbar^2 \mathbf{k}^4 / 4m^2$

Nonrelativistic thermal quantum plasma

Quantum recoil in Langmuir

• including quantum recoil, susceptibilities become

$$\chi^{L}(\omega, \mathbf{k}) = -\frac{\omega_{p}^{2}}{\sqrt{2}|\mathbf{k}|V} \frac{1}{2\Delta} \left[\frac{\phi(y_{-})}{y_{-}} - \frac{\phi(y_{+})}{y_{+}} \right],$$

$$y_{\pm} = (\omega \pm \Delta)/\sqrt{2}|\mathbf{k}|V, \quad \Delta = \hbar(\mathbf{k}^{2} - \omega^{2}/c^{2})/2m$$
• for $y^{2} \gg 1$, $\phi(y) \approx 1 + 1/2y^{2}$

$$\chi^{L}(\omega, \mathbf{k}) = -\frac{\omega_{p}^{2}}{\omega^{2} - \Delta^{2}} \left[1 + \frac{(3\omega^{2} + \Delta^{2})\mathbf{k}^{2}V^{2}}{(\omega^{2} - \Delta^{2})^{2}} \right]$$
• \Longrightarrow Langmuir waves with quantum recoil

$$\omega^2 \rightarrow \omega_L^2(\mathbf{k}) + \Delta_e^2 + \cdots,$$

• Landau damping $(H_e = \hbar \omega_L(k)/4m_e V_e^2)$

$$\gamma_L(k) \simeq \omega_L(k) \sqrt{\frac{\pi}{2}} \left(\frac{\omega_L(k)}{|\mathbf{k}| V_e} \right)^3 \frac{\sinh H_e}{H_e} \exp \left(-\frac{\omega_L^2(k) + \Delta_e^2}{2 |\mathbf{k}|^2 V_e^2} \right).$$

Quantum recoil in ion sound waves

$$\omega^2
ightarrow \omega_s^2(\mathbf{k}) \left[1 + rac{\Delta_e^2}{3\mathbf{k}^2 V_e^2(1+\mathbf{k}^2 \lambda_{De}^2)}
ight] + \Delta_i^2,$$

 \blacktriangleright for ${\bf k}^2\lambda_{De}^2\ll 1$ simplifies to

$$\omega^2 \rightarrow \mathbf{k}^2 v_s^2 + \frac{\Delta_{ei}^2}{3}, \qquad \Delta_{ei}^2 = \frac{\hbar^2 (\mathbf{k}^2 - \omega^2/c^2)^2}{4m_e m_i}$$

Zakharov equations (standard form)

Nonlinear correction to Langmuir waves (V. Zakharov 1972)

- fluctuation $\delta n_e(t, \mathbf{x})$ in electron density modifies ω_p
- ► correction to dispersion relation for Langmuir waves $\omega = \omega_p + \frac{3\mathbf{k}^2 V_e^2}{2\omega_p} + \frac{\delta n_e}{2n_e} \omega_p - i\frac{\gamma_L}{2}$
- ► slowly varying envelope for Langmuir turbulence
 E(t, x) = Ẽ(t, x) e^{-iω_pt} + Ẽ^{*}(t, x) e^{iω_pt}

• equation for the envelope $\left[i\frac{\partial}{\partial t} + \frac{3V_e^2}{2\omega_p}\nabla^2 + i\frac{\gamma_L}{2}\right]\tilde{\mathbf{E}}(t,\mathbf{x}) = \frac{\omega_p\delta n_e(t,\mathbf{x})}{2n_e}\tilde{\mathbf{E}}(t,\mathbf{x})$

Evolution of density fluctuations

►
$$\delta n_e$$
 assumed ion-sound like $(\omega^2 - \mathbf{k}^2 v_s^2 + i\omega\gamma_s = 0)$
 $\left[\frac{\partial^2}{\partial t^2} - v_s^2 \nabla^2 + \gamma_s \frac{\partial}{\partial t}\right] \delta n_e(t, \mathbf{x}) = \frac{\varepsilon_0}{m_i} \nabla^2 |\tilde{\mathbf{E}}(t, \mathbf{x})|^2$

driver = ponderomotive force due to Langmuir turbulence

Zakharov equations from kinetic theory

Nonlinear wave equation

- nonlinear wave equation involves effective cubic response
- QPD form for $\prod_{\text{eff}}^{L}(k, k_1, k_2, k_3)$ simplifies if beat at $k k_1 = k_2 + k_3$ slow and longitudinal
- $\Pi_{\text{eff}}^{L}(k, k_1, k_2, k_3)$ depends only on linear responses
- quantum recoil terms included in linear responses
- nonlinear wave equation becomes

$$\mathcal{K}^{L}(k)\mathbf{E}(k) = \frac{e^{2}}{m_{e}^{2}\omega_{\rho}^{4}} \int d\lambda^{(3)} |\mathbf{k} - \mathbf{k}_{1}|^{2} \mathcal{D}^{-1}(k - k_{1})\mathbf{E}(k_{1}) \mathbf{E}(k_{2}) \cdot \mathbf{E}(k_{3})$$

$$\mathcal{D}^{-1}(k-k_1) = \frac{1+\chi_e^L(k-k_1)+\chi_i^L(k-k_1)}{\chi_e^L(k-k_1)[1+\chi_i^L(k-k_1)]}$$

Factorization of nonlinear wave equation

nonlinear wave equation becomes

$$\mathcal{K}^{L}(k)\mathbf{E}(k) = \frac{e^{2}}{\varepsilon_{0}m_{e}\omega_{p}^{2}}\int d\lambda^{(2)}\,\delta n_{e}(k_{1})\mathbf{E}(k_{2})$$

with the identification

$$\delta n_e(k) = \frac{\varepsilon_0}{m_e \omega_p^2} \mathcal{D}(k) \,\mathbf{k}^2 \int d\lambda^{(2)} \,\mathbf{E}(k_1) \cdot \mathbf{E}(k_2)$$

- ► assume slowly varying envelope of Langmuir turbulence $\mathbf{E}(x) = \frac{1}{2} \begin{bmatrix} \tilde{\mathbf{E}}(x)e^{-i\omega_p t} + \tilde{\mathbf{E}}^*(x)e^{i\omega_p t} \end{bmatrix}$
- invert Fourier transforms, introducing operators
- ▶ high frequency ($\omega \approx \omega_p$): $K^L(k) \rightarrow \hat{O}_h^L(x)$

$$\hat{O}_{h}^{L}(x) \approx \frac{1}{2\omega_{p}} \left[i \frac{\partial}{\partial t} + \frac{3V_{e}^{2}}{2\omega_{p}} \nabla^{2} + i \frac{\gamma_{L}}{2} - \frac{\mathsf{QRT}_{e}}{2\omega_{p}} \right]$$

• $QRT_e = quantum recoil term for electrons$

Ion sound approximation

▶ with $k' = k - k_1$, assuming $|\mathbf{k}'|V_i \ll \omega' \ll |\mathbf{k}'|V_e \implies$

$$\chi_{e}^{L}(k') \approx \frac{1}{\mathbf{k}'^{2}\lambda_{De}^{2}}, \qquad \chi_{i}^{L}(k') \approx -\frac{\omega_{pi}^{2}}{\omega'^{2}}$$

ion-sound dispersion relation

$$\omega_s^2(\mathbf{k}') = rac{\mathbf{k}'^2 v_s^2}{1 + \mathbf{k}'^2 \lambda_{De}^2}, \quad v_s = \omega_{pi} \lambda_{De}$$

beat is ion-sound-like disturbance

$$1 + \chi_e^L(\mathbf{k}') + \chi_i^L(\mathbf{k}') \approx \frac{1 + \mathbf{k}'^2 \lambda_{De}^2}{\mathbf{k}'^2 \lambda_{De}^2 \omega'^2} [\omega'^2 - \omega_s^2(\mathbf{k}')]$$

► low frequency ($\omega' \ll \omega_{pi}$, $\mathbf{k}'^2 \lambda_{De}^2 \ll 1$): $\mathcal{D}(k') \rightarrow -\hat{O}_i^L(x)$

$$\hat{O}_{i}^{L} \approx \frac{1}{\omega_{pi}^{2}} \left[\frac{\partial^{2}}{\partial t^{2}} - v_{s}^{2} \nabla^{2} + \gamma_{s} \frac{\partial}{\partial t} + \mathsf{QRT}_{ei} \right]$$

• $QRT_{ei} = quantum$ recoil correction for ion sound waves

Quantum Zakharov equations

nonlinear equations become

$$\begin{bmatrix} i\frac{\partial}{\partial t} + \frac{3V_e^2}{2\omega_p}\nabla^2 + i\frac{\gamma_L}{2} - \frac{\mathsf{QRT}_e}{2\omega_p} \end{bmatrix} \tilde{\mathbf{E}}(x) = \omega_p \frac{\delta n_e(x)}{n_e} \tilde{\mathbf{E}}(x)$$
$$\begin{bmatrix} \frac{\partial^2}{\partial t^2} - v_s^2 \nabla^2 + \gamma_s \frac{\partial}{\partial t} + \mathsf{QRT}_i \end{bmatrix} \delta n_e(x) = \frac{\varepsilon_0 \omega_{pi}^2}{4m_e \omega_p^2} \nabla^2 |\tilde{\mathbf{E}}(x)|^2$$

quantum Zakharov equations includes recoil terms

$$QRT_{e} = \frac{\hbar^{2}}{4m_{e}^{2}} \left(\frac{1}{c^{2}}\frac{\partial^{2}}{\partial t^{2}} - \nabla^{2}\right)^{2} \qquad QRT_{ei} = \frac{m_{e}}{3m_{i}}QRT_{e}$$

(1/c²)(∂²/∂t²) absent in strictly nonrelativistic theory
 factors of 3 not correct in QFT counterpart

Discussion & conclusions

Discussion of QPD

- QPD synthesizes QED and plasma response theory
- provides a basis for treating all quantum plasma effects

Applications of QPD

- interiors of compact stars
- early Universe
- pulsar & magnetar magnetospheres

Application to QFT

- ▶ Bohm potential equivalent to quantum recoil for $\mathbf{k}^2 \gg \omega^2/c^2$ Bohm potential wrong for $\omega^2 \gtrsim \mathbf{k}^2 c^2$
- quantum Zakharov equations in QFT? derived from nonlinear wave equation in QPD

Further development of QPD

- inclusion macroscopic mass renormalization
- 'self-consistent' Dirac field
- different masses for electrons & positrons
- do new solutions ('plasmino') exist?