RUSSIAN ACADEMY OF SCIENCES

URALS DIVISION



Institute of Electrophysics

# Multiple Bands - A Key to High - Temperature Superconductivity in Iron Arsenides?

M.V.Sadovskii\*

Institute for Electrophysics, Russian Academy of Sciences, Ekaterinburg, Russia

\*In collaboration with E.Z.Kuchinskii, I.A.Nekrasov, Z.V.Pchelkina

Crystal structure and phase diagram Electronic structure and ARPES **Rare-Earth Puzzle?** Fermi surfaces Cooper pairing in multi-band system Gaps relations on different Fermi surface sheets Effective coupling constant – from weak to strong coupling? **Conclusions** 

## **REFeAs superconductors**



Why Tc is different? Chemical pressure?

Z. Ren et al. EPL 83, 17002 (2008)



M. Rotter et al., Angew. Chem. In. Ed. 47, 7949 (2008)

M. Rotter et al., PRL 101, 107006 (2008)

# Crystal structure of ReOFeAs and BaFe<sub>2</sub>As<sub>2</sub>



La  $\frac{1}{4}, \frac{1}{4}, z_{La}$ Fe  $\frac{3}{4}, \frac{1}{4}, \frac{1}{2}$ As  $\frac{1}{4}, \frac{1}{4}, z_{As}$ O  $\frac{3}{4}, \frac{1}{4}, 0$ 



**140 K**  $T(I4/mmm) \rightarrow O(Fmmm)$ 

Ba 0, 0, 0 Fe  $\frac{1}{2}$ , 0  $\frac{1}{4}$ As 0, 0,  $z_{As}$ 

BaFe,As,

### LaOFeAs

- ZrCuSiAs-type structure
- *P4/nmm*, *a*=4.03 Å, *c*=8.74 Å
- Charge (LaO)<sup>+</sup>(FeAs)<sup>-</sup>
- Distance of FeAs layers 8.74 Å
- *d*(Fe-As)=2.41 Å,
- As-Fe-As=113.6°; 107.5°

- ThCr<sub>2</sub>Si<sub>2</sub>-type structure
- *I4/mmm*, *a*=3.91 Å, *c*=13.21 Å
- Charge  $(Ba)^{2+}[(FeAs)^{-}]_2$
- Distance of FeAs layers 6.61 Å
- *d*(Fe-As)=2.39 Å,
- As-Fe-As=109.9°; 109.3°

# **Crystal structure of LiFeAs and FeSe**



## **LiFeAs** (Superconducting without doping)

- PbFCl-type structure
- *P4/nmm*, *a*=3.79 Å, *c*=6.36 Å
- Li  $(\frac{1}{4}, \frac{1}{4}, z_{\text{Li}})$  Fe $(\frac{3}{4}, \frac{1}{4}, \frac{1}{2})$  As  $(\frac{1}{4}, \frac{1}{4}, z_{\text{As}})$  Fe (0,0,0) As $(0, \frac{1}{2}, z_{\text{As}})$
- *d*(Fe-As)=2.42 Å
- As-Fe-As=103.1°; 112.7°

J.H. Tapp et al., PRB 78, 060505 (2008)



α-FeSe

- PbO-type structure
- *P4/nmm*, *a*=3.77 Å, *c*=5.48 Å
- *d*(Fe-As)=2.38 Å,
- As-Fe-As=104.5°; 111.8°; 112.2°

S. Margadonna et al., Chem. Commun.78, 5607 (2008)

F.C. Hsu et al., arXiv:0807.2369

## **ReOFeAs: phase diagram**



# Magnetic properties of 122





 $142K [220K]^1 - T(I4/mmm) \rightarrow O(Fmmm)$ •142K [220K]<sup>1</sup> – AFM order of Fe with  $\sqrt{2a} \times \sqrt{2b} \times 2c$  cell, stripes along b [a]<sup>1</sup>  $m_{Ee}=0.87 \mu_B at 5K for BaFe_2As_2$  $m_{Fe}=0.94 \mu_B$  at 10K for SrFe<sub>2</sub>As<sub>2</sub>

<sup>1</sup> for SrFe<sub>2</sub>As<sub>2</sub>, J. Zhao et al., PRB **78**, 140504 (2008)

# Summary: essentially physics of FeAs layers!



FeAs tetrahedra form two-dimensional layers surrounded by LaO, Ba or Li. Fe ions inside tetrahedra form a square lattice. Magnetic (AFM) fluctuations possible?

## LDA calculations for FeAs superconductors

LaOFeP S. Lebègue, PRB **75**, 035110 (2007)

LaOFeAs D.J. Singh and M.H. Du, PRL 100, 237003 (2008)
L. Boeri et al., PRL 101, 026403 (2008)
I.I. Mazin et al., PRL 101, 057003 (2008)
G. Xu et al., Europhys. Lett. 82, 67002 (2008)
I.A. Nekrasov *et al.*, JETP Lett. 87, 560 (2008)

I.A. Nekrasov *et al.*, JETP Lett. 88, 144, 543, 679 (2008)
I.R. Shein and A.L. Ivanovskii, JETP Lett. 88, 115 (2008)
D.J. Singh, PRB 78, 094511 (2008)
F. Ma et al., arXiv:0806.3526 (2008)

α-FeSe A. Subedi et al., Phys. Rev. B 78, 134514 (2008)

and many others...

## LDA band structure of tetragonal LaOFeAs



# **REOFeAs:**



No significant changes by RE substitution!



### **Rare-Earth Puzzle**

ReOFeAs	$\operatorname{La}$	Ce	Pr	Nd
$T_c, K$	26	41	52	51.9

- Different samples quality (effects of disorder)
- HP synthesis of  $LaO_{1-x}Fe_xAs$  with  $T_c=41K$ (W. Lu *et al.* Solid State Comm. **148**, 168 (2008))

YFeAsO<sub>1-δ</sub>

YFeAsO<sub>1-x</sub> $F_x$   $T_c=10 \text{ K}$  (S.V. Chong *et al.*, arXiv: 0808.0288)  $Gd_{1-x}Y_{x}FeAsO_{0.8}F_{0.2}$  **T**<sub>c</sub>=**10 K** (K. Kadowaki *et al.*, arXiv: 0808.0289)  $T_c = 46.5 \text{ K}$  (J. Yang *et al.*, arXiv: 0809.3582)

# LDA bands and Fermi surface: 1111, 122, 111





Multiple bands (close to and at the Fermi level) spectrum formed (practically) only by *d*states of Fe. Fermi surface consists of several hole-like and electron-like cylinders, with its "own" superconducting gap at each cylinder.







### Fermi Surface and Band Renormalization in (Sr,K)Fe<sub>2</sub>As<sub>2</sub> Superconductor from Angle-Resolved Photoemission Spectroscopy

Haiyun Liu<sup>1</sup>, Wentao Zhang<sup>1</sup>, Lin Zhao<sup>1</sup>, Xiaowen Jia<sup>1</sup>, Jianqiao Meng<sup>1</sup>, Guodong Liu<sup>1</sup>, Xiaoli Dong<sup>1</sup>, G. F. Chen<sup>2</sup>, J. L. Luo<sup>2</sup>, N. L. Wang<sup>2</sup>, Wei Lu<sup>1</sup>, Guiling Wang<sup>3</sup>, Yong Zhou<sup>3</sup>, Yong Zhu<sup>4</sup>, Xiaoyang Wang<sup>4</sup>, Zhongxian Zhao<sup>1</sup>, Zuyan Xu<sup>3</sup>, Chuangtian Chen<sup>4</sup>, X. J. Zhou<sup>1,\*</sup>



### **Observation of Fermi-surface-dependent nodeless**

### superconducting gaps in Ba<sub>0.6</sub>K<sub>0.4</sub>Fe<sub>2</sub>As<sub>2</sub>

H. Ding<sup>1</sup>, P. Richard<sup>2</sup>, K. Nakayama<sup>3</sup>, T. Sugawara<sup>3</sup>, T. Arakane<sup>3</sup>, Y. Sekiba<sup>3</sup>,
A. Takayama<sup>3</sup>, S. Souma<sup>2</sup>, T. Sato<sup>3</sup>, T. Takahashi<sup>2,3</sup>, Z. Wang<sup>4</sup>, X. Dai<sup>1</sup>, Z. Fang<sup>1</sup>,
G. F. Chen<sup>1</sup>, J. L. Luo<sup>1</sup>, and N. L. Wang<sup>1</sup>

### arXiv: 0807.0419





# Superconducting gap – ARPES data



Schematic picture of superconducting gaps in  $Ba_{0.6}K_{0.4}Fe_2As_2$ . Lower picture represents Fermi surfaces (ARPES intensity), upper insert – temperature dependence of gaps at different sheets of the Fermi surface.

### arXiv: 0809.4455 Momentum dependence of the superconducting gap in $Ba_{1-x}K_xFe_2As_2$

D. V. Evtushinsky,<sup>1</sup> D. S. Inosov,<sup>1,2</sup> V. B. Zabolotnyy,<sup>1</sup> A. Koitzsch,<sup>1</sup> M. Knupfer,<sup>1</sup> B. Büchner,<sup>1</sup> G. L. Sun,<sup>2</sup> V. Hinkov,<sup>2</sup> A. V. Boris,<sup>2</sup> C. T. Lin,<sup>2</sup> B. Keimer,<sup>2</sup> A. Varykhalov,<sup>3</sup> A. A. Kordyuk,<sup>1,4</sup> and S. V. Borisenko<sup>1</sup>



Ref. num.	2	3	4	5	6	This paper
$T_{c}$	53 K	37 K	35 K	53 K	37 K	32 K
Inner Γ-barrel	20	12.5	12	15	12	$9.2 \pm 1$
Outer Γ-barrel		5.5	8		6	<4
X-pocket	-	12.5	10		11	$9 \pm 2$
Blades	-	_	(11)	_	<u> </u>	~ 9
Gap anisotropy	—	<3	2	<5	<3	<1.5

Table I: Momentum dependence of the superconducting gap in iron-arsenic superconductors, as revealed by ARPES studies from five independent groups, sorted by the time of appearance on the arXiv.org. Values of the gap and estimates of the gap anisotropy on the inner  $\Gamma$ -barrel are given in millielectron-volts.

Ref. num.	2	3	4	5	6	7	8	9	This paper
Large gap	9	8.1	8.2	6.8	7.5	3.7	9.6	4	6.8
Small gap	-	— 3.6	5.5	—	3.9	—	3.4	_	<3

Table II: Coupling strength,  $2\Delta/k_{\rm B}T_{\rm c}$ , in iron-arsenic superconductors, as revealed by different experimental techniques — compare to the BSC universal value 3.53. Most of the available studies reveal two superconducting gaps of different magnitudes, which are represented in the table as "large" and "small". Refs. 2, 3, 4, 5, 6 are ARPES studies, Refs. 7, 8 are Andreev spectroscopy studies, Ref. 9 is a specific heat study.

# LDA+DMFT: strong or intermediate correlations?

### K.Haule et al. Phys. Rev. Lett. 100, 226402 (2008), arXiv: 0803.1279



# Simple model of multiple – band superconductivity

$$\Delta_i(p) = T \sum_{j;\omega_n} \int V^{i,j}(p-p') dp' F_j(\omega_n, p')$$

 $\Delta_i$ ,  $V_i$  - a superconducting gap and DOS

on the *i*-th sheet of the Fermi surface

$$V = \left(\begin{array}{cccc} w & u & \cdot & \cdot \\ w & u' & t & t \\ t & t & \lambda & \mu \\ t & t & \mu & \lambda \end{array}\right)$$

 $V^{i,j}$  - intraband and interband pairing coupling constants matrix.  $\lambda = V^{eX,eX} = V^{eY,eY}$  - pairing interactions on the same electronic pockets at point *X* or *Y*,

 $\mu = V^{eX,eY}$  - connects electrons of different electronic pockets,  $u = V^{h1,h1}$ ,  $u' = V^{h2,h2}$ ,  $w = V^{h1,h2}$  - BCS interactions within two hole-like pockets,

 $t = V^{h,eX} = V^{h,eY}$  - couple electrons at points X and  $\Gamma$ .

H.Suhl, B.Matthias, L.Walker Phys.Rev.Lett. 3, 552 (1959) V.Moskalenko FMM 4, 503 (1959)

$$g_{ejf}\Delta_i = \sum_j g_{ij}\Delta_j$$

$$g_{ij} \equiv -V^{i,j}\nu_j$$

Matrix of dimensionless coupling constants

V. Barzykin, L.P. Gorkov. Pis'ma ZhETF 88, 142 (2008); arXiv: 0806.1993



Schematic electronic spectrum and Fermi surfaces of FeAs superconductor in the extended band picture.

$$\begin{split} \Delta_i &= -\sum_j V^{i,j} \nu_j \Delta_j \ln \frac{2\gamma \omega_c}{\pi T_c} \\ T_c &= \frac{2\gamma \omega_c}{\pi} \, e^{-1/g_{eff}} \end{split}$$

E. Z. Kuchinskii<sup>1)</sup>, M. V. Sadovskii<sup>1)</sup> Pis'ma v ZhETF, vol. 89, iss. 3, pp. 176–180 arXiv: 0901.0164

$$Det(g_{ij} - g_{eff}\delta_{ij}) = 0$$

Secular equation, physical solution corresponds to a maximal positive value of  $g_{eff}$ , which determines the highest value of  $T_c$ 

### **Tecnicalities:**

$$\mathbf{T} \leq \mathbf{T}_{\mathbf{c}} \qquad \Delta_{i} = \sum_{j} g_{ij} \Delta_{j} \int_{0}^{\omega_{c}} d\xi \frac{th \frac{\sqrt{\xi^{2} + \Delta_{j}^{2}}}{2T}}{\sqrt{\xi^{2} + \Delta_{j}^{2}}}$$

$$\mathbf{T} \to \mathbf{0} \qquad \Delta_i = \sum_j g_{ij} \Delta_j F\left(\frac{\Delta_j}{\omega_c}\right) \qquad F(x) = \ln\left(\frac{1+\sqrt{1+x^2}}{|x|}\right)$$

### **Tecnicalities:**

• V. Barzykin, L.P. Gorkov. Pis'ma ZhETF 88, 142 (2008); arXiv: 0806.1993

$$V(p-p') \rightarrow V(0) \longrightarrow u = u' = w (= \lambda ?)$$
  
$$\Delta_1 = \Delta_2 = \kappa \Delta, \quad \Delta_3 = \Delta_4 = \Delta \qquad \kappa^{-1} = -(g_{eff} + u(\nu_1 + \nu_2))/(t\nu_3)$$

$$2g_{ ext{eff}} = -u(
u_1 + 
u_2) - 2ar{\lambda}
u_3 + \sqrt{(u(
u_1 + 
u_2) - 2ar{\lambda}
u_3)^2 + 8t^2
u_3(
u_1 + 
u_2)}$$

• O.V.Dolgov, I.I.Mazin, D.Parker, A.A.Golubov, arXiv: 0810.1476 two-band model, interband coupling only

$$-g_{ij} = \begin{pmatrix} 0 & w\nu_2 \\ w\nu_1 & 0 \end{pmatrix} \qquad \qquad \frac{\Delta_2}{\Delta_1} = \sqrt{\frac{\nu_1}{\nu_2}}$$

BaFe<sub>2</sub>As<sub>2</sub>  $\nu_2/\nu_1 \approx 1.26 \rightarrow \Delta_1/\Delta_2 \approx 1.12 \le 2$ 

$$g=g_{11}=-uv_1=0.2 \qquad \qquad \frac{\nu_2}{\nu_1}\approx 1.18, \qquad \frac{\nu_3}{\nu_1}\approx 0.64 \quad \text{for ReOFeAs(1111)} \\ \frac{\nu_2}{\nu_1}\approx 1.26, \qquad \frac{\nu_3}{\nu_1}\approx 0.34 \quad \text{for BaFe}_2As_2(122) \end{cases}$$

Pairing interactions on hole – like cylinders and between them, as well as on electron – like cylinders and between them, are most probably determined by electron - phonon interaction (u, u', w,  $\lambda$ ,  $\mu < 0$  - attraction), interband pairing interaction between hole - like and electron - like cylinders is probably due to antiferromagnetic fluctuations and is repulsive (*t* > 0).

Parameter *t* from coupling constants matrix enters in secular equation, determining  $g_{eff}$ , only via  $t^2$ , i.e. independent of sign. Thus its sign does not change the value of an effective pairing coupling constant and that of  $T_c$ . Repulsion between quasiparticles on hole - like and electron - like cylinders does not suppress, but actually enhances superconductivity leading to the increase of  $g_{eff}$ . Also the sign change of *t* does not change the absolute values of gaps on different cylinders.

 $w/u = 1, t/u = -1, \lambda/u = 1,$ 

which guarantees us the ratio  $|\Delta_3/\Delta_1| = 1$  for any values of *u*' and arbitrary ratios of partial densities of states at different cylinders.

### **Gap ratios for for different u'/u:**



Despite rather large number of free parameters of the model it is not easy to obtain the observable in ARPES experiments values of the ratios  $|\Delta_2/\Delta_1| \approx 0.5$  and  $|\Delta_3/\Delta_1| \approx 1$ . In fact it requires small enough attraction (or even repulsion, u' > 0) on the "large" hole - like cylinder.

# Effective coupling – from weak to strong?



Effective coupling constant  $g_{eff}$  is significantly larger than the pairing constant g on the small hole - like cylinder. It can be said that coupling constants from different cylinders effectively produce "additive" effect. In fact this can lead to high enough values of  $T_c$  even for relatively small values of intraband and interband pairing constants.

• 
$$\boldsymbol{g}_{eff}, T_c(d_{x^2-y^2} \text{ pairing}) < \boldsymbol{g}_{eff}, T_c(s^{\pm} \text{ pairing})$$

•  $T_c(122)/T_c(1111) = 0.67 \iff 38K/55K \approx 0.69$ for u'/u=0 (!)

Value of  $T_c$  in multiple bands systems is determined by the **relations between partial densities of states** (and pairing constants) on different sheets of the Fermi surface, **not only by the total density of states** at the Fermi level.

2. All pairing interactions (both intraband and interband) are just the same - u, and all partial densities of states on all four Fermi surface pockets are also the same -  $v_1$ .

Is there a nontrivial "optimal" band structure (number of bands etc.)?

### **Relation to "Eliashberg" coupling constant**



In general  $g' < g_{eff}$  ! Difference between g' ("Eliashberg") and  $g_{eff}$  in case of interband repulsion is rather dramatic!

### **Gap/Tc ratios:**



Characteristic ratio  $2\Delta/T_c$  can be significantly different from the standard BCS value  $2\Delta/T_c = 3.5$ . However, the findings values are much lower than the ratios observed in ARPES experiments, where the typical values are  $2\Delta_{1,3}/T_c \approx 7.5$  and  $2\Delta_2/T_c \approx 3.7$ , which is apparently due to the strong coupling effects important in real systems.

Multiple Gaps and Superfluid Density from Interband Pairing in Iron Oxypnictides

L. Benfatto<sup>1,2</sup>, M. Capone<sup>2,3</sup>, S. Caprara<sup>2</sup>, C. Castellani<sup>2</sup>, and C. Di Castro<sup>2</sup>

#### arXiv: 0807.4408



FIG. 1: (Color Online) Schematic of the multiband model used in this work. The two hole bands  $\alpha, \beta$  are centered around the  $\Gamma$  point, the electron bands around the M point.



FIG. 2: (Color Online) (a): Temperature dependence of the SC gaps in the hole and electron bands. The parameter values are optimized to obtain the same gap value in the  $\alpha$  and  $\gamma$  bands (values in eV). Moreover, we used  $\lambda = \Lambda/2$  to have  $\Delta_{\beta} = \Delta_{\alpha}/2$ . (b): SC gaps for the hopping parameters estimated from ARPES measurements of Ref.<sup>7</sup> at half-filling.

Three-band  $s\pm$  Eliashberg theory and the superconducting gaps of iron pnictides

G.A. Ummarino,\* M. Tortello, D. Daghero, and R.S. Gonnelli

#### arXiv: 0904.1808



FIG. 3: Calculated temperature dependence of the gaps for Ba<sub>0.6</sub>K<sub>0.4</sub>Fe<sub>2</sub>As<sub>2</sub> ( $T_c = 37$  K, upper panel) and for SmFeAsO<sub>0.8</sub>F<sub>0.2</sub> ( $T_c = 52$  K, lower panel):  $\Delta_1(T)$  (red solid line),  $\Delta_2(T)$  (blue dashed line) and  $\Delta_3(T)$  (green dash-dot line). Symbols are experimental data from ref. [12] (upper panel) and ref. [13] (lower panel).

### Gap ratios for different t'/t:



Again it is not easy to obtain the observable in ARPES experiments values of the ratios  $|\Delta_2/\Delta_1| \approx 0.5$  and  $|\Delta_3/\Delta_1| \approx 1$  for reasonable relations, between interband couplings – intraband processes actually complicate this task!.

#### Band Structure and Fermi Surface of an Extremely Overdoped Iron-Based Superconductor KFe<sub>2</sub>As<sub>2</sub>

T. Sato,<sup>1,2</sup> K. Nakayama,<sup>1</sup> Y. Sekiba,<sup>1</sup> P. Richard,<sup>3</sup> Y.-M. Xu,<sup>4</sup> S. Souma,<sup>3</sup> T. Takahashi,<sup>1,3</sup> G. F. Chen,<sup>5</sup> J. L. Luo,<sup>5</sup> N. L. Wang,<sup>5</sup> and H. Ding<sup>5</sup>



### $\begin{array}{l} Electronic \ structure \ of \ heavily \ electron-doped \ BaFe_{1.7}Co_{0.3}As_2 \ studied \ by \\ angle-resolved \ photoemission \end{array}$

Y. Sekiba<sup>1</sup>, T. Sato<sup>1,2</sup>, K. Nakayama<sup>1</sup>, K. Terashima<sup>3</sup>, P. Richard<sup>4</sup>, J. H. Bowen<sup>5</sup>, H. Ding<sup>5</sup>, Y.-M. Xu<sup>6</sup>, L. J. Li<sup>7</sup>, G. H. Cao<sup>7</sup>, Z.-A. Xu<sup>7</sup>, and T. Takahashi<sup>1,4</sup>

arXiv:0810.3047





## Conclusions and problems that remain to be solved

- Gap ratios in FeAs superconductors can be reasonably explained, but are coupling constants realistic?
- Effective pairing coupling increases due to multiple bands. A reason for high Tc in FeAs layers?
- Total DOS at the Fermi level is not crucial for Tc?
- Average ("Eliashberg") coupling constant is irrelevant for Tc calculations in case of interband repulsion.
- Phonons are insufficient to obtain high Tc, interband repulsive interactions are important?
- Multiple bands a direct way to increase Tc but is there an "optimal" band structure?